

# EXTERIOR DOMAIN PROBLEMS AND DECOMPOSITION OF TENSOR FIELDS IN WEIGHTED SOBOLEV SPACES

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## ABSTRACT

The Hodge decomposition is a useful tool for tensor analysis on compact manifolds with boundary. This paper aims at generalising the decomposition to exterior domains  $G \subset \mathbb{R}^n$ . Let  $L_a^2 \Omega^k(G)$  be the space weighted square integrable differential forms with weight function  $(1 + |x|^2)^a$ , let  $d_a$  be the weighted perturbation of the exterior derivative and  $\delta_a$  its adjoint. Then  $L_a^2 \Omega^k(G)$  splits into the orthogonal sum of the subspaces of the  $d_a$ -exact forms with vanishing tangential component on the boundary, of  $\delta_a$ -coexact forms with vanishing normal component, and harmonic forms, in the sense of  $d_a \lambda = 0$  and  $\delta_a \lambda = 0$ . For the respective components regularity results are given and corresponding a-priori estimates are shown.

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## 1. Introduction

The method of Hodge decomposition of differential forms provided a useful tool for the analysis on manifolds with boundary, in particular for solving boundary value problems. For the case of a compact manifold  $G$  with boundary it has been shown in [M] that the space  $L^2\Omega^k(G)$  of square integrable  $k$ -forms splits into

$$L^2\Omega^k(G) = L^2\mathcal{E}^k(G) \oplus L^2\mathcal{C}^k(G) \oplus L^2\mathcal{H}^k(G) \quad (1.1)$$

where

$$\begin{aligned} L^2\mathcal{E}^k(G) &= \{d\alpha \in L^2\Omega^k(G) \mid t\alpha = 0\}, \quad L^2\mathcal{C}^k(G) = \{\delta\beta \in L^2\Omega^k(G) \mid n\beta = 0\} \\ \text{and } L^2\mathcal{H}^k(G) &= \{\lambda \in L^2\Omega^k(G) \mid d\lambda = 0, \delta\lambda = 0\}. \end{aligned} \quad (1.2)$$

Here  $d$  is the extension of the exterior derivative  $d : \Omega^{k-1}(G) \rightarrow \Omega^k(G)$  and  $\delta : \Omega^{k+1}(G) \rightarrow \Omega^k(G)$  is its adjoint, the co-differential. The conditions  $t\alpha = 0$  and  $n\beta = 0$  indicate that the tangential respectively normal component on the boundary  $\partial G$  of the differential forms have to vanish. For precise definitions see Section 2. Identifying the 1-forms  $\omega \in \Omega^1(G)$  with vector fields  $X_\omega \in \mathcal{X}(G)$  this Hodge-Morrey decomposition (1.1) generalises the well known Helmholtz decomposition, by stating that each vector field uniquely splits into the gradient of  $f \in C^\infty(G)$ , the generalised curl of a vector field  $W \in \mathcal{X}(G)$  and a harmonic (i.e. curl- and divergence-free) field. Here  $f$  and  $W$  have to satisfy the given boundary conditions.

In the case of  $G$  being a non-compact manifold (with boundary), a complete generalisation of that result is missing. A number of partial results have been obtained by several authors, see [B-S], [C], [D], [P], [W1] and [W-W]. This paper aims at filling the gap for arbitrary exterior domains  $G \subset \mathbb{R}^n$ . Its main purpose is to prove the corresponding Hodge-Morrey decomposition

$$L_a^2\Omega^k(G) = L_a^2\mathcal{E}_a^k(G) \oplus L_a^2\mathcal{C}_a^k(G) \oplus L_a^2\mathcal{H}_a^k(G), \quad (1.3)$$

where  $L_a^2\Omega^k(G)$  is the Hilbert space of weighted square integrable differential forms, with the norm

$$\|\omega\|_{L_a^2}^2 = \int_G \langle \omega, \omega \rangle \exp(2a\sigma) d^n x \quad \text{where} \quad \sigma = \frac{1}{2} \log(1 + |x|^2). \quad (1.4)$$

In order to do so the exterior derivative and the co-differential operator need to be modified by a term corresponding to the choice of the weight. With

$$\begin{aligned} d_a\omega &:= d\omega + a d\sigma \wedge \omega \quad \text{mapping } d_a : \Omega^{k-1}(G) \rightarrow \Omega^k(G) \quad \text{and} \\ \delta_a\omega &:= \delta\omega - a (\mathbf{i}_{\text{grad } \sigma} \omega) \quad \text{mapping } \delta_a : \Omega^{k+1}(G) \rightarrow \Omega^k(G) \end{aligned} \quad (1.5)$$

for the weighted exterior derivative and its adjoint, the spaces  $L^2\mathcal{E}^k(G)$ ,  $L^2\mathcal{C}^k(G)$  and  $L^2\mathcal{H}^k(G)$  are replaced by

$$\begin{aligned} L_a^2\mathcal{E}_a^k(G) &= \{d_a\alpha \in L_a^2\Omega^k(G) \mid t\alpha = 0\}, \quad L_a^2\mathcal{C}_a^k(G) = \{\delta_a\beta \in L_a^2\Omega^k(G) \mid n\beta = 0\} \\ \text{and } L_a^2\mathcal{H}_a^k(G) &= \{\lambda \in L_a^2\Omega^k(G) \mid d_a\lambda = 0, \delta_a\lambda = 0\}. \end{aligned} \quad (1.6)$$

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Let  $H_{a-1}^1 \Omega^k(G)$  denote the weighted Sobolev space [K] of differential forms normed by  $\|\omega\|_{H_{a-1}^1}^2 := \|\omega\|_{L_{a-1}^2}^2 + \sum_{j=1..n} \|\nabla_j \omega\|_{L_a^2}^2$ . The essential object needed to show the decomposition (1.3) is the weighted Dirichlet integral

$$\begin{aligned} \mathcal{D}_a : H_{a-1}^1 \Omega^k(G) \times H_{a-1}^1 \Omega^k(G) &\longrightarrow \mathbb{R} \\ \mathcal{D}_a(\omega, \eta) &= \ll d_a \omega, d_a \eta \gg_a + \ll \delta_a \omega, \delta_a \eta \gg_a. \end{aligned} \quad (1.7)$$

The aim is to identify a subspace of  $H_{a-1}^1 \Omega^k(G)$  on which this continuous bilinear form gives an upper bound for the weighted Sobolev norm, that is

$$\|\omega\|_{H_{a-1}^1}^2 \leq C(a, G) \mathcal{D}_a(\omega, \omega) \quad (1.8)$$

with a constant depending only on  $a$  and the geometry of  $G$ . We prove that this inequality holds for each  $a \neq (1 - n/2)$  on the space of all differential forms  $\omega$ , which have a vanishing tangential component  $t\omega = 0$  and are orthogonal with respect to the  $L_{a-1}^2$  norm to the space

$$\mathcal{H}_{a-1}^{k,D}(G) = \{ \lambda \mid t\lambda = 0 \text{ and } \mathcal{D}_a(\lambda, \lambda) = 0 \}. \quad (1.9)$$

Having established this essential estimate, the approach of [S2] towards a proof of the Hodge-Morrey decomposition generalises.

This paper is divided into 8 sections: In Section 2 some basic notations are introduced. The main analytic arguments are found in Section 3 and 4. There a weighted generalisation of the Poincaré inequality [O-K] for differential forms on exterior domains is given. Moreover, it is shown that the weighted Dirichlet integral  $\mathcal{D}_a$  satisfies the estimate (1.8) modulo a contribution of order  $\|\omega\|_{L_{a-2}^2}^2$ . In Section 5 the proof of estimate (1.8) is completed and it is shown how this relates to solving the elliptic boundary value problem

$$\begin{aligned} (\delta_a d_a + d_a \delta_a) \omega &= \eta && \text{on } G \\ t\omega = 0 \text{ and } t\delta_a \omega &= 0 && \text{on } \partial G. \end{aligned} \quad (1.10)$$

This allows to prove in Section 6 the Hodge-Morrey decomposition (1.3) for exterior domains, and give corresponding regularity results and estimates for the components. Section 7 is devoted to the decomposition on the subspace of differential forms satisfying boundary conditions. Finally, in Section 8, a short discussion is given about solving boundary value problems for differential forms on exterior domains by means of the Hodge decomposition.

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## 2. Weighted Sobolev spaces of differential forms

Throughout this paper all differential forms and distributions are defined on an exterior domain  $G = \mathbb{R}^n \setminus \bar{G}$  with a smooth boundary  $\partial G$ . Here  $\bar{G} \subset \mathbb{R}^n$  is an open bounded domain so that  $\partial G \subset G$  is compact and  $G$  is closed. Let  $\bigwedge^*(\mathbb{R}^n)$  be the exterior algebra, then the space of smooth differential forms of degree  $k$  is  $\Omega^k(G) = C^\infty(G; \bigwedge^k(\mathbb{R}^n))$ .

By  $\Omega_c^k(G)$  the subspace differential forms on  $G$  with compact support in  $\mathbb{R}^n$ . Let  $\mathcal{F} = (E_1, \dots, E_n)$  be a local orthonormal on  $U \subset G$ . We define a fibrewise product on  $\Omega^k(G)$  by

$$\langle \omega, \eta \rangle := \frac{1}{k!} \sum_{j_1=1..n} \dots \sum_{j_k=1..n} \omega(E_{j_1}, \dots, E_{j_k}) \cdot \eta(E_{j_1}, \dots, E_{j_k}), \quad (2.1)$$

where the vector fields  $E_{j_i}$  run through  $\mathcal{F}$ . The product  $\langle, \rangle$  is independent of the choice of the frame used for its definition. This give rise to define the Hodge (star) operator,  $\star : \Omega^k(G) \rightarrow \Omega^{n-k}(G)$ , such that  $\langle \eta, \omega \rangle d^n x = \eta \wedge (\star \omega)$  for all  $\eta \in \Omega^k(G)$ . Here  $d^n x$  is the standard volume form in  $\mathbb{R}^n$ . The contraction of  $\omega \in \Omega^k(G)$  with a vector field  $Y$  is defined by

$$(\mathbf{i}_Y \omega)(X_1, \dots, X_{k-1}) = \omega(Y, X_1, \dots, X_{k-1}). \quad (2.2)$$

Following the approach of [R] we write for the derivative of a differential form in the direction of a vector field  $Y$

$$(\nabla_Y \omega)(X_1, \dots, X_k) := D(\omega((X_1, \dots, X_k)))(Y) - \sum_{j=1..k} \omega(X_1, \dots, \partial_Y X_j, \dots, X_k); \quad (2.3)$$

if  $k = 0$  we identify  $D\omega(Y) = \nabla_Y \omega$ . Then the exterior derivative reads

$$d\omega(X_0, X_1, \dots, X_k) = \sum_{j=0..k} (-1)^j (\nabla_{X_j} \omega)(X_0, \dots, \widehat{X}_j, \dots, X_k), \quad (2.4)$$

where  $\widehat{X}_j$  means to omit this vector field. For co-differential operator  $\delta = \star d \star$  we have

$$\delta \omega(X_1, \dots, X_{k-1}) := - \sum_{j=1..n} (\nabla_{E_j} \omega)(E_j, X_1, \dots, X_{k-1}), \quad (2.5)$$

where the fields  $E_j$  run through an arbitrary orthonormal frame  $\mathcal{F}$ . The Laplace operator  $\Delta = \delta d + d \delta$  on  $\Omega^k(G)$  can be written as

$$\Delta \omega = - \sum_{j=1..n} (\nabla_{E_j} (\nabla_{E_j} \omega) - \nabla_{\nabla_{E_j} E_j} \omega). \quad (2.6)$$

The space  $\Omega^1(G)$  can be identified with the space  $\mathcal{X}(G)$  of (smooth) vector fields on  $G$  by means of the flat map. That is, each vector field  $Y$  on  $G$  defines a 1-form  $Y^b \in \Omega^1(G)$  by demanding  $\langle Y^b, \omega \rangle = \omega(Y)$  for all  $\omega \in \Omega^1(G)$ . By direct computation

$$\langle (Y^b \wedge \omega), \eta \rangle = \langle \omega, (\mathbf{i}_Y \eta) \rangle, \quad (2.7)$$

and

$$\frac{1}{|Y|^2} (\mathbf{i}_Y (Y^b \wedge \omega) + Y^b \wedge (\mathbf{i}_Y \omega)) = \omega. \quad (2.8)$$

Moreover, the flat map allows to express the co-differential by the divergence and the exterior derivative of 1-forms by the generalised curl of the corresponding vector field, that is

$$\operatorname{div} Y = \delta Y^b \quad \text{and} \quad (\operatorname{curl} Y)^b = \star d Y^b. \quad (2.9)$$

To describe the boundary behavior let  $j : \partial G \rightarrow G$  be the inclusion of the boundary. We denote by  $\omega|_{\partial G}$  the restriction of  $\omega \in \Omega^k(G)$  to  $\partial G$ , and by  $j^*\omega \in \Omega^k(\partial G)$  its pull back. If  $N$  is the outward pointing unit vector field on  $\partial G$ , each point  $y \in \partial G$  has an open neighborhood  $U_y \subset G$  such that

$$\mathcal{F}_N = \{(F_1, F_2, \dots, F_n) \mid F_1|_y = N_y, \langle F_j|_x, F_k|_x \rangle = \delta_{jk} \forall x \in U_y\} \quad (2.10)$$

defines a local orthonormal frame. The restriction of  $(F_2, \dots, F_n)$  to  $\partial G$  then is a local orthonormal frame on  $U_y \cap \partial G$ . In slight abuse of notation we will identify  $N$  with its extension  $F_1$ . In a neighborhood  $U$  of  $\partial G$  each  $X \in \mathcal{X}(G)$  can be split into  $X|_U = X^\perp N + X^\top$ , where  $X^\top|_{\partial G}$  is a vector field along  $\partial G$ . For  $\omega \in \Omega^k(G)$  we define the tangential respectively the normal component by

$$t\omega(X_1, \dots, X_k) = \omega|_{\partial G}(X_1^\top, \dots, X_k^\top) \quad \text{and} \quad n\omega = \omega|_{\partial G} - t\omega; \quad (2.11)$$

if  $k = 0$  we set  $t\omega = \omega|_{\partial G}$ . The spaces of smooth differential forms with vanishing tangential respectively normal components we denote by

$$\Omega_D^k(G) = \{\omega \in \Omega^k(G) \mid t\omega = 0\} \quad \text{and} \quad \Omega_N^k(G) = \{\omega \in \Omega^k(G) \mid n\omega = 0\}. \quad (2.12)$$

One easily shows that the Hodge operator  $\star$  intertwines the normal and the tangential projection, that is  $\star t = n\star$ . Hence, for each  $\omega \in \Omega_D^k(G)$  there is a unique  $\eta \in \Omega_N^{n-k}(G)$  such that  $\omega = \star\eta$  and vice versa. For 1-forms this can be described in terms of vector fields by means of the flat map, i.e.  $tY^b = (Y^\top)^b$  and  $nY^b = Y^\perp N^b$ .

To get access to the notion of weighted Sobolev spaces, let  $r = |x|$  be the radial distance from  $x \in G$  to the origin in  $\mathbb{R}^n$ , and denote by  $R_x = \frac{x}{r}$  the radial unit vector. Then

$$\rho^{2a} := \exp(2a\sigma) \quad \text{where} \quad \sigma = \frac{1}{2} \log(1 + r^2) \quad (2.13)$$

defines a family of weight functions, and

$$\text{grad}(\exp(2a\sigma)) = 2a \exp(2a\sigma) (\partial_r \sigma) R_x \quad \text{where} \quad \partial_r \sigma = r \exp(-2\sigma). \quad (2.14)$$

Using this, the space  $\Omega_c^k(G)$  can be equipped with a family of weighted scalar products defined by

$$\ll \omega, \eta \gg_a := \int_G \exp(2a\sigma) \langle \omega, \eta \rangle d^n x. \quad (2.15)$$

The completion of  $\Omega_c^k(G)$  with respect to the corresponding norm  $\|\omega\|_{L_a^2}$  is denoted by  $L_a^2 \Omega^k(G)$ . If  $\mathcal{F}_c = (e_1, \dots, e_n)$  is the canonical basis on  $G \subset \mathbb{R}^n$ , the weighted  $H_a^s$  Sobolev norm, inductively defined by

$$\|\omega\|_{H_a^s}^2 := \|\omega\|_{L_a^2}^2 + \sum_{j=1..n} \|\nabla_{e_j} \omega\|_{H_{a+1}^{s-1}}^2. \quad (2.16)$$

The respective completions of  $\Omega_c^k(G)$  in these norms, that is the weighted Sobolev spaces of differential forms, are denoted by  $H_a^s \Omega^k(G)$ . The space  $H_a^0 \Omega^k(G)$  is identified with

$L_a^2 \Omega^k(G)$ . Of special interest is the spaces  $H_a^1 \Omega^k(G)$ . The corresponding norm is easily shown to be independent of the choice of the frame used for its definition. That is

$$\|\omega\|_{H_a^1}^2 = \|\omega\|_{L_a^2}^2 + \sum_{j=1..n} \|\nabla_{E_j} \omega\|_{L_{a+1}^2}^2 \quad (2.17)$$

for an arbitrary (local) orthonormal frame  $\mathcal{F} = (E_1, \dots, E_n)$ . In the general case  $s > 1$  this frame independence fails. However, any choice of a frame  $\mathcal{F}$  on  $G$  induces an equivalent topology on  $H_a^s \Omega^k(G)$ . From the respective definitions it is clear that the exterior derivative and the co-differential extend to bounded linear operators  $d : H_a^s \Omega^k(G) \rightarrow H_{a+1}^{s-1} \Omega^{k+1}(G)$  and  $\delta : H_a^s \Omega^k(G) \rightarrow H_{a+1}^{s-1} \Omega^{k-1}(G)$ . For corresponding concepts for general (non-compact) Riemannian manifolds see [C], [D] and [E].

To obtain a generalisation of Green's formula for the  $L_a^2$  scalar product we observe that each  $y \in G$  has a neighborhood  $U_y$  such that

$$\mathcal{F}_R = \{(F_1, F_2, \dots, F_n) \mid F_1|_x = R_x, \langle F_j|_x, F_k|_x \rangle = \delta_{jk} \forall x \in U_y\} \quad (2.18)$$

defines a local orthonormal frame. Here  $R_x = \frac{x}{r}$ . For the vector field  $F_1$  we will write also  $R$ . By definition of the weight function  $\nabla_{F_j} \exp(a\sigma) = 0$  for  $j \geq 2$ . From (2.4) and (2.5) we then infer that

$$\begin{aligned} d(\exp(a\sigma)\eta) &= \exp(a\sigma) d\eta + (\nabla_R \exp(a\sigma)) R^b \wedge \eta = \exp(a\sigma) (d\eta + a(\partial_r \sigma) R^b \wedge \eta) \\ \delta(\exp(a\sigma)\eta) &= \exp(a\sigma) \delta\eta - (\nabla_R \exp(a\sigma)) i_R \eta = \exp(a\sigma) (\delta\eta - a(\partial_r \sigma) i_R \eta). \end{aligned} \quad (2.19)$$

In view of this, we define the weighted exterior derivative as

$$d_a \eta := d\eta + a(\partial_r \sigma) R^b \wedge \eta, \quad (2.20)$$

and the weighted co-differential operator as

$$\delta_a \eta := \delta\eta - a(\partial_r \sigma) i_R \eta. \quad (2.21)$$

These differentials extend to bounded linear operators on the corresponding weighted Sobolev spaces, that is  $d_a : H_a^s \Omega^k(G) \rightarrow H_{a+1}^{s-1} \Omega^{k+1}(G)$  and  $\delta_a : H_a^s \Omega^k(G) \rightarrow H_{a+1}^{s-1} \Omega^{k-1}(G)$ . Since

$$\exp(a\sigma) d_a d_a \eta = d(\exp(a\sigma) d_a \eta) = dd(\exp(a\sigma)\eta) = 0, \quad (2.22)$$

the weighted differentials are nilpotent, that is  $d_a^2 = 0$  and  $\delta_a^2 = 0$ . Moreover,

$$d(\exp(2a\sigma)(\omega \wedge \star \eta)) = \exp(2a\sigma) (d_a \omega \wedge \star \eta - \omega \wedge \star \delta_a \eta), \quad (2.23)$$

so that Stokes theorem yields the weighted generalisation of Green's formula, reading

$$\ll d_a \omega, \eta \gg_a = \ll \omega, \delta_a \eta \gg_a + \int_G \exp(2a\sigma) j^*(\omega \wedge \star \eta). \quad (2.24)$$

For the usual Laplacian  $\Delta = \delta d$  acting on scalars  $g \in \Omega_c^0(G)$  this implies

$$\begin{aligned} \int_G \exp(2a\sigma)(\Delta g) d^n x &= \int_G (\Delta \exp(2a\sigma)) g d^n x \\ &+ \int_{\partial G} \exp(2a\sigma) j^* (2ag(\partial_r \sigma) \star R^b - \star dg) . \end{aligned} \quad (2.25)$$

Finally we need to introduce the weighted Laplace operator

$$\Delta_a := \delta_a d_a + d_a \delta_a : \Omega^k(G) \longrightarrow \Omega^k(G) . \quad (2.26)$$

This is an elliptic operator on  $\Omega^k(G)$ , which is clear by observing that  $\Delta_a$  differs from the unweighted Laplacian  $\Delta$  only by lower order terms. Boundary value problems for elliptic operator are called elliptic, if the boundary operator satisfies the Lopatinskiĭ-Šapiro-condition, cf. [H2] ,[R-S]. In the context considered here the following results is relevant:

### Lemma 2.1

*The boundary value problem*

$$\begin{aligned} \Delta_a \omega &= \eta && \text{on } G \\ t\omega &= 0 \text{ and } t\delta_a \omega = 0 && \text{on } \partial G \end{aligned} \quad (2.27)$$

on  $\Omega^k(G)$  is elliptic in the sense of Lopatinskiĭ-Šapiro.

ind For the unweighted case a detailed computation can be found in [S2]. Since  $\delta_a$  differs from the unweighted co-differential  $\delta$  by lower order terms only, that result generalises to the boundary value problem (2.27) for the weighted operators.

### 3. A generalised Poincare inequality

The scalar theory of weighted Sobolev spaces is extensively studied in the literature, cf. [K]. Here we need a special generalisation of the weighted Poincaré inequality. We start with a modified version of the Hardy-Littlewood estimate.

#### Proposition 3.1

Let  $\rho > 0$  and  $e \neq -1$ . Then there exists for  $\epsilon' > 0$  a constant  $C_{\epsilon'} \geq 0$  such that

$$\int_{\rho}^{\infty} |h(t)|^2 t^e dt \leq \left( \frac{2 + \epsilon'}{e + 1} \right)^2 \int_{\rho}^{\infty} |\partial_t h(t)|^2 t^{e+2} dt + C_{\epsilon'} \int_{\rho}^{\rho+1} |h(t)|^2 dt \quad (3.1)$$

for all compactly supported  $h \in C_c^{\infty}([\rho, \infty))$ . For  $e > -1$  this holds with  $C_{\epsilon'} = 0$ . If  $e < -1$  the estimate (3.1) also hold for  $h \in C^{\infty}([\rho, \infty))$ , which are not compactly supported.

Proof :

For  $e < -1$  the classical Hardy-Littlewood inequality reads

$$\int_0^\infty |F(t)|^2 t^e dt \leq \left( \frac{2}{e+1} \right)^2 \int_0^\infty |f(t)|^2 t^{e+2} dt \quad (3.2)$$

with  $F(t) = \int_0^t |f(s)| ds$ , which holds for all piecewise continuous  $f : [0, \infty) \rightarrow \mathbb{R}$ . Given  $h \in C^\infty([\rho, \infty))$  let  $f_h$  be defined by

$$f_h(t) = \partial_t h(t) \text{ for } t \in [\rho, \infty) \text{ and } f_h(t) = 0 \text{ for } t \in [0, \rho). \quad (3.3)$$

Then  $F_h(t) = \int_\rho^t |\partial_s h(s)| ds$ , and

$$|h(t)|^2 = \left| h(\rho) + \int_\rho^t \partial_s h(s) ds \right|^2 \leq (|h(\rho)| + |F_h(t)|)^2 \text{ for } t \in [\rho, \infty). \quad (3.4)$$

Since  $F_h(t) = 0$  for  $t < \rho$ , a weighted integration implies by using (3.2)

$$\begin{aligned} \int_\rho^\infty |h(t)|^2 t^e dt &\leq (1 + \epsilon^2) \int_0^\infty |F_h(t)|^2 t^e dt + \left(1 + \frac{1}{\epsilon^2}\right) \int_\rho^\infty |h(\rho)|^2 t^e dt \\ &\leq \left( \frac{2 + 2\epsilon}{e+1} \right)^2 \int_0^\infty |f_h(t)|^2 t^{e+2} dt + C_1 |h(\rho)|^2. \end{aligned} \quad (3.5)$$

To estimate the second term let  $I_\rho = [\rho, \rho + 1]$ . Since the embedding  $H^1(I_\rho) \hookrightarrow C^0(I_\rho)$  is compact, there exists by Ehrling's inequality a constant  $C_2$  such that

$$C_1 |h(\rho)|^2 \leq C_1 \sup_{t \in I_\rho} |h|^2 \leq \epsilon \|h\|_{H^1(I_\rho)}^2 + C_2 \|h\|_{L^2(I_\rho)}^2. \quad (3.6)$$

By definition of  $f_h$  this proves that

$$\int_\rho^\infty |h(t)|^2 t^e dt \leq \left( \frac{2 + \epsilon'}{e+1} \right)^2 \int_\rho^\infty |\partial_t h(t)|^2 t^{e+2} dt + C_3 \int_\rho^{\rho+1} |h(t)|^2 dt. \quad (3.7)$$

For  $e > -1$  the Hardy-Littlewood inequality (3.2) holds with  $F(t) = \int_t^\infty |f(s)| ds$ . By assumption  $h(t)$  has compact support so that we get by using the same notation as above

$$|h(t)|^2 = \left| \int_t^\infty \partial_s h(s) ds \right|^2 \leq |F_h(t)|^2 \text{ for } t \in [\rho, \infty). \quad (3.8)$$

Then (3.2) implies

$$\int_0^\infty |F_h(t)|^2 t^e dt \leq \left( \frac{2}{e+1} \right)^2 \int_0^\infty |f_h(t)|^2 t^{e+2} dt = \left( \frac{2}{e+1} \right)^2 \int_\rho^\infty |\partial_t h(t)|^2 t^{e+2} dt. \quad (3.9)$$

Since  $F_h(t) = 0$  for  $t < \rho$ , this proves the result.  $\square$



**Lemma 3.2**

If  $a \neq (1 - \frac{n}{2})$  there exists for each  $\epsilon > 0$  a constant  $C_\epsilon \geq 0$  such that

$$\|g\|_{L^2_{a-1}}^2 \leq \frac{1+\epsilon}{(a-1+n/2)^2} \sum_{j=1..n} \|\nabla_{E_j} g\|_{L^2_a}^2 + C_\epsilon \|g\|_{L^2_{a-1}}^2 \quad \forall g \in \Omega_c^0(G). \quad (3.10)$$

**Proof :**

If  $B^r$  denotes the (open) ball of radius  $r$  in  $\mathbb{R}^n$ , let  $\widehat{B}^r$  be its complement and  $S^r$  the corresponding sphere. For  $g \in \Omega_c^0(G)$  we use polar coordinates and write  $g(x) = g(r, \theta) =: h_\theta(r)$ . Fixing  $\rho$  sufficiently big, such that  $\widehat{B}^\rho \subset G$  we have

$$\int_{\widehat{B}^\rho} |g(x)|^2 r^{2a-2} d^n x = \int_{S^\rho} \left( \int_\rho^\infty |h_\theta(r)|^2 r^{2a+n-3} dr \right) d\theta. \quad (3.11)$$

With Proposition 3.1

$$\int_\rho^\infty |h_\theta(r)|^2 r^{2a+n-3} dr \leq \left( \frac{2+\epsilon'}{2a+n-2} \right)^2 \int_\rho^\infty |\partial_r h_\theta(r)|^2 r^{2a+n-1} dr + C_4 \int_\rho^{\rho+1} |h_\theta(r)|^2 r^{n-1} dr. \quad (3.12)$$

Using a radial frame  $\mathcal{F}_R$ , cf. (2.18),  $|\partial_r h_\theta(r)|^2 = |\nabla_R g(x)|^2 \leq \sum_{j=1..n} |\nabla_{E_j} g(x)|^2$ . Thus

$$\begin{aligned} & \int_{\widehat{B}^\rho} |g(x)|^2 r^{2a-2} d^n x \\ & \leq \left( \frac{2+\epsilon'}{2a+n-2} \right)^2 \int_{\widehat{B}^\rho} \sum_{j=1..n} |\nabla_{E_j} g(x)|^2 r^{2a} d^n x + C_4 \int_{(\widehat{B}^\rho \cap B^{\rho+1})} |g(x)|^2 d^n x. \end{aligned} \quad (3.13)$$

Moreover, for each power  $b$  and each  $\rho > 0$  there exist constants  $c_b^\rho$  and  $C_b^\rho$  such that

$$c_b^\rho r^{2b} \leq \exp(2b\sigma) \leq C_b^\rho r^{2b} \quad \forall r \geq \rho. \quad (3.14)$$

By choosing  $\rho$  sufficiently big  $C_{b-1}^\rho / c_b^\rho < (1+\epsilon')$  we can estimate

$$\begin{aligned} \|g\|_{L^2_{a-1}(G)}^2 & \leq C_5 \|g\|_{L^2(B^\rho \cap G)}^2 + C_{a-1}^\rho \int_{\widehat{B}^\rho} |g(x)|^2 r^{2a-2} d^n x \\ & \leq \frac{1+\epsilon}{(a-1+n/2)^2} \sum_{j=1..n} \int_{\widehat{B}^\rho} |\nabla_{E_j} g(x)|^2 \exp(2a\sigma) d^n x + (C_4 + C_5) \|g\|_{L^2(B^{\rho+1} \cap G)}^2. \end{aligned} \quad (3.15)$$

Finally, since  $(B^{\rho+1} \cap G)$  is bounded

$$\|g\|_{L^2(B^{\rho+1} \cap G)}^2 \leq C_6 \int_{B^{\rho+1} \cap G} |g(x)|^2 \exp(2(a-2)\sigma) d^n x \leq C_6 \|g\|_{L^2_{a-2}(G)}^2, \quad (3.16)$$

which proves the generalised Poincaré inequality (3.10).  $\square$

Since differential forms on  $G$  also can be considered as vector valued functions on  $G$  this estimate generalises to  $\Omega_c^k(G)$ . By completion in the  $H_{a-1}^1$  norm on  $\Omega_c^k(G)$  we then get:

### Theorem 3.3

If  $G \subset \mathbb{R}^n$  is an exterior domain, and  $a \neq (1 - n/2)$ , there exists for each  $\epsilon > 0$  some  $C_\epsilon$  such that

$$\|\omega\|_{L_{a-1}^2}^2 \leq \frac{1+\epsilon}{(a-1+n/2)^2} \sum_{j=1..n} \|\nabla_{E_j} \omega\|_{L_a^2}^2 + C_\epsilon \|\omega\|_{L_{a-2}^2}^2 \quad \forall \omega \in H_{a-1}^1 \Omega^k(G). \quad (3.17)$$

### 4. The weighted Dirichlet integral

The weighted Dirichlet integral we define as the map

$$\begin{aligned} \mathcal{D}_a : H_{a-1}^1 \Omega^k(G) \times H_{a-1}^1 \Omega^k(G) &\longrightarrow \mathbb{R} \\ \mathcal{D}_a(\omega, \eta) &= \ll d_a \omega, d_a \eta \gg_a + \ll \delta_a \omega, \delta_a \eta \gg_a. \end{aligned} \quad (4.1)$$

By construction,  $\mathcal{D}_a$  is a symmetric continuous bilinear functional for each  $a \in \mathbb{R}$ . Our aim is to prove the  $H_{a-1}^1$  ellipticity of  $\mathcal{D}_a$ , that is to show that

$$\|\omega\|_{H_{a-1}^1}^2 = \|\omega\|_{L_{a-1}^2}^2 + \sum_{j=1..n} \|\nabla_{E_j} \omega\|_{L_a^2}^2 \leq C(a, G) \mathcal{D}_a(\omega, \omega) \quad (4.2)$$

on an appropriate subspace of  $H_{a-1}^1 \Omega^k(G)$ . First we show :

#### Lemma 4.1

(a) If  $\omega \in \Omega_c^k(G)$ , then

$$\sum_{j=1..n} \|\nabla_{E_j} \omega\|_{L_a^2}^2 = \mathcal{D}_a(\omega, \omega) + c_1 \|\omega\|_{L_{a-1}^2}^2 - c_2 \|\omega\|_{L_{a-2}^2}^2 + \int_{\partial G} B(\omega), \quad (4.3)$$

where  $c_1 = (a^2 + (n-2)a)$ ,  $c_2 = (a^2 - 2a)$ , and

$$B(\omega) = \exp(2a\sigma) j^* \left( -\frac{1}{2} \star d\langle \omega, \omega \rangle + d\omega \wedge \star \omega - \delta \omega \wedge \star \omega + a\langle \omega, \omega \rangle (\partial_r \sigma) \star R^b \right). \quad (4.4)$$

(b) There exists a constant  $C > 0$  such that

$$\|\omega\|_{H_{a-1}^1}^2 \leq C \left( \mathcal{D}_a(\omega, \omega) + \|\omega\|_{L_{a-2}^2}^2 + \left| \int_{\partial G} B(\omega) \right| \right) \quad \forall \omega \in \Omega_c^k(G). \quad (4.5)$$

Proof :

(a) The identity (2.6) for the Laplace operator implies that

$$\Delta \langle \omega, \omega \rangle = 2 \langle \Delta \omega, \omega \rangle - 2 \sum_{j=1..n} \langle \nabla_{E_j} \omega, \nabla_{E_j} \omega \rangle. \quad (4.6)$$

By weighted integration over  $G$  and Eq. (2.25) we get

$$-\frac{1}{2} \left( \int_G \Delta \exp(2a\sigma) \langle \omega, \omega \rangle d^n x + \int_{\partial G} B_1(\omega) \right) + \ll \Delta \omega, \omega \gg_a = \sum_{j=1..n} \|\nabla_{E_j} \omega\|_{L^2_a}^2 \quad (4.7)$$

$$\text{where } B_1(\omega) = \exp(2a\sigma) j^* \left( 2a \langle \omega, \omega \rangle (\partial_r \sigma) \star R^b - \star d \langle \omega, \omega \rangle \right).$$

To rewrite  $\Delta \omega = (\delta d + d\delta)\omega$  in terms of the weighted differentials  $d_a$  and  $\delta_a$  we observe that

$$\begin{aligned} \delta d\omega &= \delta_a (d_a \omega - a(\partial_r \sigma) R^b \wedge \omega) + a(\partial_r \sigma) i_R (d_a \omega - a(\partial_r \sigma) R^b \wedge \omega) \\ d\delta\omega &= d_a (\delta_a \omega + a(\partial_r \sigma) i_R \omega) - a(\partial_r \sigma) R^b \wedge (\delta_a \omega - a(\partial_r \sigma) i_R \omega). \end{aligned} \quad (4.8)$$

Using Green's formula (2.24) we get

$$\begin{aligned} \ll \delta d\omega, \omega \gg_a &= \ll d_a \omega, d_a \omega \gg_a - a \ll (\partial_r \sigma) R^b \wedge \omega, d_a \omega \gg_a + a \ll (\partial_r \sigma) i_R d_a \omega, \omega \gg_a \\ &\quad - a^2 \ll (\partial_r \sigma)^2 i_R (R^b \wedge \omega), \omega \gg_a + \int_{\partial G} B_2(\omega), \end{aligned} \quad (4.9)$$

$$\begin{aligned} \ll d\delta\omega, \omega \gg_a &= \ll \delta_a \omega, \delta_a \omega \gg_a + a \ll (\partial_r \sigma) i_R \omega, \delta_a \omega \gg_a - a \ll (\partial_r \sigma) R^b \wedge \delta_a \omega, \omega \gg_a \\ &\quad - a^2 \ll (\partial_r \sigma)^2 R^b \wedge i_R \omega, \omega \gg_a + \int_{\partial G} B_3(\omega), \end{aligned} \quad (4.10)$$

where the boundary terms read

$$B_2(\omega) = -\exp(2a\sigma) j^* (d\omega \wedge \star \omega) \quad \text{and} \quad B_3(\omega) = \exp(2a\sigma) j^* (\delta\omega \wedge \star \omega). \quad (4.11)$$

With (2.7) and (2.8) we then get

$$\ll \Delta \omega, \omega \gg_a = \mathcal{D}_a(\omega, \omega) - a^2 \ll (\partial_r \sigma)^2 \omega, \omega \gg_a + \int_{\partial G} (B_2(\omega) + B_3(\omega)). \quad (4.12)$$

As far as (4.7) is concerned, we also have to control the contribution of the integral of  $\Delta \exp(2a\sigma) \langle \omega, \omega \rangle$ . From (2.14) we obtain

$$\begin{aligned} -\frac{1}{2} \Delta \exp(2a\sigma) &= \frac{1}{2} \left( \partial_r \partial_r + \frac{n-1}{r} \partial_r \right) \exp(2a\sigma) \\ &= \left( a(\partial_r^2 \sigma) + 2a^2 (\partial_r \sigma)^2 + a \frac{n-1}{r} (\partial_r \sigma) \right) \exp(2a\sigma) \\ &= (2a^2 + (n-2)a) \exp(2(a-1)\sigma) - (2a^2 - 2a) \exp(2(a-2)\sigma). \end{aligned} \quad (4.13)$$

With the constants  $c_1$  and  $c_2$  given above this yields

$$-\frac{1}{2} \int_G \Delta \exp(2a\sigma) \langle \omega, \omega \rangle d^n x = (c_1 + a^2) \|\omega\|_{L^2_{a-1}}^2 - (c_2 + a^2) \|\omega\|_{L^2_{a-2}}^2. \quad (4.14)$$

Adding the contributions of (4.12) and (4.14), then Eq. (4.7) implies the identity (4.3).

(b) The contribution of order  $\|\omega\|_{L_{a-1}^2}^2$  in (4.3) can be estimated by Poincaré's inequality (3.17) as

$$c_1 \|\omega\|_{L_{a-1}^2}^2 \leq \gamma_a \sum_{j=1..n} \|\nabla_{E_j} \omega\|_{L_a^2}^2 + C' \|\omega\|_{L_{a-2}^2}^2 \quad (4.15)$$

where  $\gamma_a = \frac{(1+\epsilon)(a^2 + (n-2)a)}{(a-1+n/2)^2}$ .

For  $n > 2$  and  $\epsilon$  is sufficiently small one has  $(\gamma_a - 1) < 0$  for all  $a \in \mathbb{R}$ , and (4.3) yields

$$0 < (1 - \gamma_a) \sum_{j=1..n} \|\nabla_{E_j} \omega\|_{L_a^2}^2 \leq \mathcal{D}_a(\omega, \omega) + C' \|\omega\|_{L_{a-2}^2}^2 + \left| \int_{\partial G} \mathcal{B}(\omega) \right|. \quad (4.16)$$

The estimate (4.5) follows by using the Poincaré inequality (3.17) once more.  $\square$

#### Lemma 4.2

The boundary integral of Lemma 4.1 satisfies the estimate

$$\left| \int_{\partial G} \mathcal{B}(\omega) \right| \leq C \|\omega\|_{L^2(\partial G)}^2 \quad \forall \omega \in \Omega_*^k(G), \quad (4.17)$$

where  $\Omega_*^k(G)$  is either of the spaces  $\Omega_D^k(G) \cap \Omega_c^k(G)$  or  $\Omega_N^k(G) \cap \Omega_c^k(G)$  define in (2.12).

Proof :

If  $N$  is the unit normal field on  $\partial G$ , and  $d_{\partial}^{n-1}x = \mathbf{i}_N d^n x$  is the associated volume form, the kernel of the boundary integral of (4.4) can be written as

$$\mathcal{B}(\omega) = \exp(2a\sigma) \left( -\frac{1}{2} D[\langle \omega, \omega \rangle](N) + \langle \mathbf{i}_N d\omega, \omega \rangle - \langle d\omega, \mathbf{i}_N \omega \rangle + a \langle \omega, \omega \rangle (\partial_r \sigma) R^b(N) \right) d_{\partial}^{n-1}x, \quad (4.18)$$

cf. [S2]. Using the frame  $\mathcal{F}_N$ , cf. (2.10), the boundary condition  $t\omega = 0$  implies that

$$\langle \omega, \mathbf{i}_N d\omega \rangle = 0 \quad (4.19)$$

$$\frac{1}{2} D[\langle \omega, \omega \rangle](N) = \langle (\nabla_N \omega), \omega \rangle = \langle \mathbf{i}_N (\nabla_N \omega), \mathbf{i}_N \omega \rangle \quad (4.20)$$

Moreover it follows from (2.5) that

$$\langle d\omega, \mathbf{i}_N \omega \rangle = \langle \delta_{\partial} \omega, \mathbf{i}_N \omega \rangle - \langle \mathbf{i}_N (\nabla_N \omega), \mathbf{i}_N \omega \rangle, \quad (4.21)$$

with  $\delta_{\partial}$  as the co-differential on the boundary manifold  $\partial G$ . The second term on the right hand side of Eq. (4.21) cancels with (4.20). Thus we are left with  $\langle \delta_{\partial} \omega, \mathbf{i}_N \omega \rangle$ , where

$$\begin{aligned} \delta_{\partial} \omega(E_{j_2}, \dots, E_{j_k}) = & - \sum_{l=1..(n-1)} \left( \nabla_{E_l} (\omega(E_l, E_{j_2}, \dots, E_{j_k})) \right. \\ & \left. + \omega(\partial_{E_l} E_l, E_{j_2}, \dots, E_{j_k}) + \sum_{i=2..k} \omega(E_l, E_{j_2}, \dots, \partial_{E_l} E_{j_i}, \dots, E_{j_k}) \right) \end{aligned} \quad (4.22)$$

Since  $t\omega = 0$ , the first term on the right hand side vanishes, and from the derivatives  $\partial_{E_i} E_i$  only the normal components will contribute. These are described by the second fundamental form  $\mathcal{K}$  of  $\partial G \hookrightarrow G$ . Since  $\partial G$  is smooth and compact,  $\mathcal{K}$  is uniformly bounded, and it follows that

$$\left| \int_{\partial G} \exp(2a\sigma) \langle \delta_{\partial} \omega, i_N \omega \rangle d_{\partial}^{n-1} x \right| \leq C_1 \|\omega\|_{L^2(\partial G)}^2 \quad (4.23)$$

For the remaining term of (4.18) we get

$$\left| a \int_{\partial G} \exp(2a\sigma) \langle \omega, \omega \rangle (\partial_r \sigma) R^b(N) d_{\partial}^{n-1} x \right| \leq C_2 \|\omega\|_{L^2(\partial G)}^2 \quad (4.24)$$

This proves (4.17) for  $\Omega_D^k(G)$ . As far as the boundary condition  $n\omega = 0$  is concerned, we observe that  $\star$  intertwines the action of  $n$  and  $t$ . Thus each  $\omega \in \Omega_N^k(G)$  writes as  $\omega = \star \eta$  with  $\eta \in \Omega_D^{n-k}(G)$ . Since  $\mathcal{B}(\star \eta) = \mathcal{B}(\eta)$  the estimate (4.17) for  $\Omega_N^k(G)$  follows from the corresponding result on  $\Omega_D^{n-k}(G)$ .  $\square$

From this we immediately infer Gaffney's inequality:

### Theorem 4.3

If  $G \subset \mathbb{R}^n$  is an exterior domain, and  $a \neq (1 - n/2)$ , there exists a constant  $C_a > 0$  such that

$$\|\omega\|_{H_{a-1}^1}^2 \leq C_a (\mathcal{D}_a(\omega, \omega) + \|\omega\|_{L_{a-2}^2}^2) \quad \forall \omega \in H_a^1 \Omega_*^k(G) \quad (4.25)$$

Here  $H_a^1 \Omega_*^k(G)$  is the completion of either of the two spaces  $\Omega_D^k(G)$  or  $\Omega_N^k(G)$  in the  $H_{a-1}^1$  norm.

Proof :

Since  $\partial G$  is compact, the restriction  $\omega \mapsto \omega|_{\partial G}$  is a compact map from  $H_{a-1}^1 \Omega^k(G)$  to  $L^2 \Omega^k(G)|_{\partial G}$ . The Ehrling lemma then implies that for each  $\epsilon > 0$  there is a constant  $C_\epsilon$  such that

$$\|\omega\|_{L^2(\partial G)}^2 \leq \epsilon \|\omega\|_{H_{a-1}^1(G)}^2 + C_\epsilon \|\omega\|_{L_{a-2}^2(G)}^2 \quad \forall \omega \in H_{a-1}^1 \Omega^k(G) \quad (4.26)$$

Choosing  $\epsilon$  sufficiently small, (4.25) for smooth differential forms follows as a direct consequence of Lemma 4.1 and 4.2. The assertion then follows by a completion in the  $H_{a-1}^1$  norm.  $\square$

For differential forms on a compact manifold with boundary the estimate (4.25) has first been shown in [G] and hence is referred to as Gaffney's inequality. In the notation of functional analysis [S1] it states in particular that the weighted Dirichlet integral is coercive on the Sobolev  $H_{a-1}^1 \Omega^k(G)$ . For our approach on exterior domains it is essential that  $\mathcal{D}_a(\omega, \omega)$  estimates the  $H_{a-1}^1$  norm modulo a contribution of order  $\|\omega\|_{L_{a-2}^2}^2$ . Since the embedding  $H_{a-1}^1 \Omega^k(G) \hookrightarrow L_{a-2}^2 \Omega^k(G)$  is compact, cf. [L], implies also coercivity in the sense of calculus of variation on an appropriate subspace. This is shown in the next section.

## 5. Potentials of the weighted Dirichlet integral

Harmonic fields in  $H_{a-1}^1 \Omega^k(G)$  are characterised by the condition  $\mathcal{D}_a(\lambda, \lambda) = 0$ . We write

$$\mathcal{H}_{a-1}^{k,D}(G) = \{ \lambda \in H_{a-1}^1 \Omega_D^k(G) \mid \mathcal{D}_a(\lambda, \lambda) = 0 \} \quad (5.1)$$

for the harmonic fields in  $H_{a-1}^1 \Omega^k(G)$  which satisfy the boundary condition  $t\lambda = 0$ . Since  $\mathcal{D}_a$  is continuous, this is a closed subspace of  $H_{a-1}^1 \Omega_D^k(G)$ . By Theorem 4.3 it is also a closed subspace of  $L_{a-1}^2 \Omega^k(G)$ . The orthogonal complement of  $\mathcal{H}_{a-1}^{k,D}(G)$  in  $H_{a-1}^1 \Omega_D^k(G)$  with respect to the weighted  $L_{a-1}^2$  scalar product  $\ll, \gg_{a-1}$ , cf. (2.15), we denote by

$$(\mathcal{H}^\perp)_{a-1}^{k,D}(G) := \{ \omega \in H_{a-1}^1 \Omega_D^k(G) \mid \ll \omega, \kappa \gg_{a-1} = 0 \quad \forall \kappa \in \mathcal{H}_{a-1}^{k,D}(G) \}. \quad (5.2)$$

Then  $H_{a-1}^1 \Omega_D^k(G) = (\mathcal{H}^\perp)_{a-1}^{k,D}(G) \oplus \mathcal{H}_{a-1}^{k,D}(G)$  and both components are Hilbert spaces.

### Lemma 5.1

The Dirichlet integral  $\mathcal{D}_a$  is  $H_{a-1}^1$ -elliptic on the space  $(\mathcal{H}^\perp)_{a-1}^{k,D}(G)$ . That is, there are positive constants  $c$  and  $C$  such that

$$c \|\omega\|_{H_{a-1}^1}^2 \leq \mathcal{D}_a(\omega, \omega) \leq C \|\omega\|_{H_{a-1}^1}^2 \quad \forall \omega \in (\mathcal{H}^\perp)_{a-1}^{k,D}(G). \quad (5.3)$$

Proof :

Let  $\eta_i$  be a minimising sequence for  $\mathcal{D}_a(\omega, \omega)$  in the unit sphere

$$S_{\mathcal{H}} := \{ \omega \in (\mathcal{H}^\perp)_{a-1}^{k,D}(G) \mid \|\omega\|_{L_{a-2}^2} = 1 \}. \quad (5.4)$$

By (4.25), the sequence  $\|\eta_j\|_{H_{a-1}^1}$  is bounded, and there exists a subsequence  $\eta_{j_i}$  such that  $\eta_{j_i} \rightharpoonup \eta$  weakly in  $H_{a-1}^1 \Omega^k(G)$ . By its construction  $\eta \in (\mathcal{H}^\perp)_{a-1}^{k,D}(G)$ . Since  $\mathcal{D}_a(\omega, \omega)$  is weakly lower semicontinuous on  $H_{a-1}^1 \Omega^k(G)$  we infer that

$$\mathcal{D}_a(\omega, \omega) \geq \mathcal{D}_a(\eta, \eta) \cdot \|\omega\|_{L_{a-2}^2}^2 \quad \forall \omega \in (\mathcal{H}^\perp)_{a-1}^{k,D}(G). \quad (5.5)$$

As shown in [L], the embedding  $H_{a-1}^1 \Omega^k(G) \hookrightarrow L_{a-2}^2 \Omega^k(G)$  is compact. Therefore  $\eta_j \rightarrow \hat{\eta}$  (strongly) in  $L_{a-2}^2 \Omega^k(G)$ , up to the selection of a subsequence. The uniqueness of the weak limit then implies that  $\eta = \hat{\eta} \in S_{\mathcal{H}}$ , so that  $\|\eta\|_{L_{a-2}^2} = 1$  and  $\mathcal{D}_a(\eta, \eta) > 0$ . With (5.5) we then get from (4.25)

$$\|\omega\|_{H_{a-1}^1}^2 \leq C_a \left( 1 + \frac{1}{\mathcal{D}_a(\eta, \eta)} \right) \mathcal{D}_a(\omega, \omega) \quad \forall \omega \in (\mathcal{H}^\perp)_{a-1}^{k,D}(G). \quad (5.6)$$

Since  $\mathcal{D}_a$  is continuous on  $H_{a-1}^1 \Omega^k(G)$  this prove the  $H_{a-1}^1$ -ellipticity.  $\square$

In the language of calculus of variations this means that  $\mathcal{D}_a(\omega, \omega)$  is a coercive quadratic functional on the subspace  $(\mathcal{H}^\perp)_{a-1}^{k,D}(G) \subset H_{a-1}^1 \Omega_D^k(G)$ . Then we have:

### Theorem 5.2

If  $G \subset \mathbb{R}^n$  is an exterior domain and  $a \neq (1 - n/2)$ , there exists for each  $\eta \in L^2_{a+1}\Omega^k(G)$  satisfying the integrability condition

$$\ll \eta, \lambda \gg_a = 0 \quad \forall \lambda \in \mathcal{H}^{k,D}_{a-1}(G), \quad (5.7)$$

a unique potential  $\phi_D \in (\mathcal{H}^\perp)^{k,D}_{a-1}(G) \subset H^1_{a-1}\Omega^k_D(G)$ , such that

$$\mathcal{D}_a(\phi_D, \xi) = \ll \eta, \xi \gg_a \quad \forall \xi \in H^1_{a-1}\Omega^k_D(G). \quad (5.8)$$

Proof :

Since  $\mathcal{D}_a$  is elliptic on the Hilbert space  $(\mathcal{H}^\perp)^{k,D}_{a-1}(G)$ , the Lax-Milgram lemma, [S1] guarantees for each bounded linear functional  $\mathcal{F} : (\mathcal{H}^\perp)^{k,D}_{a-1}(G) \rightarrow \mathbb{R}$  the existence of some  $\phi_D \in (\mathcal{H}^\perp)^{k,D}_{a-1}(G)$  such that

$$\mathcal{F}(\tilde{\xi}) = \mathcal{D}_a(\phi_D, \tilde{\xi}) \quad \forall \tilde{\xi} \in (\mathcal{H}^\perp)^{k,D}_{a-1}(G). \quad (5.9)$$

In particular we can choose  $\mathcal{F}(\cdot) = \ll \eta, \cdot \gg_a$  with  $\eta \in L^2_{a+1}\Omega^k(G)$ . Then  $\phi_D$  solves (5.8), but only for  $\tilde{\xi} \in (\mathcal{H}^\perp)^{k,D}_{a-1}(G)$ . An arbitrary  $\xi \in H^1_{a-1}\Omega^k_D(G)$  splits into

$$\xi = \tilde{\xi} + \lambda_\xi \quad \text{where } \tilde{\xi} \in (\mathcal{H}^\perp)^{k,D}_{a-1}(G) \text{ and } \lambda_\xi \in \mathcal{H}^{k,D}_{a-1}(G). \quad (5.10)$$

If  $\eta \in L^2_{a+1}\Omega^k(G)$  satisfies the integrability condition (5.7), then

$$\mathcal{D}_a(\phi_D, \xi) = \mathcal{D}_a(\phi_D, \tilde{\xi}) = \ll \eta, \tilde{\xi} \gg_a = \ll \eta, \xi \gg_a. \quad (5.11)$$

This proves the existence of the potential  $\phi_D \in (\mathcal{H}^\perp)^{k,D}_{a-1}(G)$  satisfying (5.8). To show uniqueness let  $\phi'_D \in (\mathcal{H}^\perp)^{k,D}_{a-1}(G)$  be another solution of (5.8). Then  $\mathcal{D}_a((\phi_D - \phi'_D), \xi) = 0$  for all  $\xi \in H^1_{a-1}\Omega^k_D(G)$ . Therefore,  $(\phi_D - \phi'_D) \in \mathcal{H}^{k,D}_{a-1}(G)$ , which proves that  $(\phi_D - \phi'_D) = 0$ .  $\square$

By using (formally) Green's formula (2.24) we get from Eq. (5.8)

$$\ll \eta, \xi \gg_a = \ll \Delta_a \phi_D, \xi \gg_a + \int_{\partial G} \exp(2a\sigma) j^*(\xi \wedge \star d_a \phi_D - \delta_a \phi_D \wedge \star \xi), \quad (5.12)$$

holding for all  $\xi \in H^1_{a-1}\Omega^k_D(G)$ . Here  $\Delta_a$  is the weighted Laplace operator (2.26). Since  $j^*(\xi \wedge \eta) = (j^*\xi) \wedge (j^*\eta)$  the first boundary integral vanishes. Since  $H^1_{a-1}\Omega^k_D(G) \subset L^2_{a-1}\Omega^k(G)$  is dense, this shows that  $\phi_D \in (\mathcal{H}^\perp)^{k,D}_{a-1}(G)$  is weak solution of the boundary value problem

$$\begin{aligned} \Delta_a \phi_D &= \eta && \text{on } G \\ t\phi_D &= 0 \text{ and } t\delta_a \phi_D = 0 && \text{on } \partial G. \end{aligned} \quad (5.13)$$

By Lemma 2.1 this is an elliptic problem in the sense of Lopatinskiĭ-Šapiro. Although the standard theory for elliptic systems does not apply to exterior domain problems, cf. [N-W], it has a weighted generalisation, which does. More precise, if  $H^s_a(G; V)$  denotes the

weighted Sobolev space of distributions on an exterior domain  $G$  with values in a vector space  $V$ , then [L-M] have shown:

**Theorem 5.3**

Given a differential operator  $A : C^\infty(G; V) \rightarrow C^\infty(G; V)$  of order 2 and a boundary operator  $B$  such that the system  $(A, B)$  is Lopatinskiĭ-Šapiro elliptic. If a distribution  $\chi$  satisfy the homogeneous boundary condition  $B\chi = 0$ , then

$$\chi \in H_{a-1}^{s+2}(G; V) \iff A\chi \in H_{a+1}^s(G; V) \quad (5.14)$$

preassumed that the weight parameter  $a$  is not exceptional, that is  $(a - n/2) \notin \mathbb{Z}$  or  $a \in (-\frac{n}{2} + 1, \frac{n}{2} - 1)$ . Moreover, an elliptic a-priori estimate is satisfied, that is

$$\|\chi\|_{H_{a-1}^{s+2}} \leq C \left( \|A\chi\|_{H_{a+1}^s} + \|\chi\|_{L_{a+1}^2} \right) \quad \forall \chi \in H_{a-1}^{s+2}(G; V). \quad (5.15)$$

If  $A\chi$  is smooth then  $\chi \in C^\infty(G; V)$ , too.

One might observe that though for even dimension  $n$  the integers  $a \in \mathbb{Z}$  are typically exceptional weight parameters. However,  $a = 0$  is in any case not exceptional. Since  $\Omega^k(G) = C^\infty(G; \wedge^k(\mathbb{R}^n))$  we infer from this and the ellipticity of the boundary value problem (5.13):

**Corollary 5.4**

Let  $a$  be not exceptional. For each  $\eta \in L_{a+1}^2 \Omega^k(G)$  satisfying the integrability condition (5.7) the potential  $\phi_D$  constructed in Theorem 5.2 is a classical solution of the boundary value problem (5.13), i.e.  $\phi_D \in H_{a-1}^2 \Omega^k(G)$ . Moreover, if  $\eta \in H_{a+1}^s \Omega^k(G)$ , then

$$\|\phi_D\|_{H_{a-1}^{s+2}} \leq C \left( \|\eta\|_{H_{a+1}^s} + \|\phi_D\|_{L_{a-1}^2} \right), \quad (5.16)$$

and if  $\eta$  is smooth, then  $\phi_D \in \Omega^k(G)$ , too.

The corresponding results can be obtained on  $\Omega_N^k(G)$ , that is under the boundary condition  $n\omega = 0$ . With

$$\mathcal{H}_{a-1}^{k,N}(G) := \{ \lambda \in H_{a-1}^1 \Omega_N^k(G) \mid \mathcal{D}_a(\lambda, \lambda) = 0 \} \quad (5.17)$$

and the orthogonal complement  $(\mathcal{H}^\perp)_{a-1}^{k,N}(G)$  satisfying

$$H_{a-1}^1 \Omega_N^k(G) = (\mathcal{H}^\perp)_{a-1}^{k,N} \oplus \mathcal{H}_{a-1}^{k,N}(G). \quad (5.18)$$

Then all constructions based on Theorem 4.3 can be literally repeated to prove:



### Theorem 5.5

If  $G \subset \mathbb{R}^n$  is an exterior domain and  $a \neq (1 - n/2)$ , there exists for each  $\eta \in L^2_{a+1}\Omega^k(G)$  satisfying the integrability condition

$$\ll \eta, \lambda \gg_a = 0 \quad \forall \lambda \in \mathcal{H}^{k,N}_{a-1}(G), \quad (5.19)$$

a unique potential  $\phi_N \in (\mathcal{H}^\perp)^{k,N}_{a-1}(G)$  such that

$$\mathcal{D}_a(\phi_N, \xi) = \ll \eta, \xi \gg_a \quad \forall \xi \in H^1_{a-1}\Omega^k_N(G). \quad (5.20)$$

Moreover,  $\phi_N \in \mathcal{H}^2_{a-1}\Omega^k_N(G)$  and it is a classical solution of the boundary value problem

$$\begin{aligned} \Delta_a \phi_N &= \eta && \text{on } G \\ \mathbf{n}\phi_N &= 0 \text{ and } \mathbf{n}d_a\phi_N = 0 && \text{on } \partial G. \end{aligned} \quad (5.21)$$

If  $\eta \in H^s_{a+1}\Omega^k(G)$ , and  $a$  is not exceptional, then

$$\|\phi_N\|_{H^{s+2}_{a-1}} \leq C \left( \|\eta\|_{H^s_{a+1}} + \|\phi_N\|_{L^2_{a-1}} \right), \quad (5.22)$$

and if  $\eta$  is smooth, then  $\phi_N \in \Omega^k(G)$ , too.

We finish this study of potentials corresponding to the Dirichlet integral  $\mathcal{D}_a$  with the following observation :

### Lemma 5.6

- (a) If  $\eta = \delta_a \omega$  with  $\omega \in H^1_a \Omega^{k+1}(G)$ , then  $\eta$  satisfies the integrability condition (5.7) of Theorem 5.2 and the corresponding potential  $\phi_D \in (\mathcal{H}^\perp)^{k,D}_{a-1}(G)$  is co-closed, i.e.  $\delta_a \phi_D = 0$ .
- (b) If  $\eta = d_a \omega$  with  $\omega \in H^1_a \Omega^{k-1}(G)$ , then  $\eta$  satisfies the integrability condition (5.19) of Theorem 5.5 and the corresponding potential  $\phi_N \in (\mathcal{H}^\perp)^{k,N}_{a-1}(G)$  is closed, i.e.  $d_a \phi_N = 0$ .

Proof :

From Green's formula (2.24) we infer that

$$\ll \delta_a \omega, \lambda \gg_a = \ll \omega, d_a \lambda \gg_a = 0 \quad \forall \lambda \in \mathcal{H}^{k,D}_{a-1}(G). \quad (5.23)$$

Hence, there exists by Theorem 5.2 a (unique) potential  $\phi_D \in H^2_{a-1}\Omega^k(G)$  for  $\eta = \delta_a \omega$ . Since  $d_a \delta_a \phi_D \in L^2_{a+1}\Omega^k(G) \subset L^2_a \Omega^k(G)$  we get from (5.13)

$$\|d_a \delta_a \phi_D\|_{L^2_a}^2 = \ll d_a \delta_a \phi_D, \delta_a(\omega - d_a \phi_D) \gg_a. \quad (5.24)$$

With (2.24) and the boundary condition  $\mathbf{t}\delta_a \phi_D = 0$  this implies that  $d_a \delta_a \phi_D = 0$ . Since  $\mathbf{t}\phi_D = 0$ , then also

$$\|\delta_a \phi_D\|_{L^2_a}^2 = \ll d_a \delta_a \phi_D, \phi_D \gg_a = 0. \quad (5.25)$$

This proves the assertion of (a). Part (b) is shown in literally the same way with the roles of  $d_a$  and  $\delta_a$  respectively of  $\mathbf{t}$  and  $\mathbf{n}$  interchanged.  $\square$

## 6. The Hodge decomposition

To formulate the Hodge decomposition we consider the subspace  $\mathcal{E}_a^k(G) \subset \Omega^k(G)$  of smooth  $k$ -forms which are the weighted exterior derivative of some  $\alpha \in \Omega^{k-1}(G)$  with vanishing tangential component and a finite  $H_{a-1}^1$  norm, that is

$$\mathcal{E}_a^k(G) := \{d_a \alpha \mid \alpha \in \Omega^{k-1}(G), \mathbf{t}\alpha = 0, \|\alpha\|_{H_{a-1}^1} < \infty\}. \quad (6.1)$$

Correspondingly we denote by  $\mathcal{C}_a^k(G)$  as the space of smooth  $\delta_a$ -coexact forms with vanishing normal component, i.e.

$$\mathcal{C}_a^k(G) := \{\delta_a \beta \mid \beta \in \Omega^{k+1}(G), \mathbf{n}\beta = 0, \|\beta\|_{H_{a-1}^1} < \infty\}. \quad (6.2)$$

The space of smooth  $(d_a, \delta_a)$ -harmonic and weighted square integrable fields we denote by

$$\mathcal{N}_a^k(G) := \{\lambda \in \Omega^k(G) \mid d_a \lambda = 0, \delta_a \lambda = 0, \|\lambda\|_{L_a^2} < \infty\}. \quad (6.3)$$

### Proposition 6.1

The spaces  $\mathcal{E}_a^k(G)$ ,  $\mathcal{C}_a^k(G)$  and  $\mathcal{N}_a^k(G)$ , are mutual orthogonal to each other with respect to the weighted scalar product  $\ll, \gg_a$ . Moreover,  $\mathcal{N}_a^k(G)$  is the orthogonal complement of  $\mathcal{E}_a^k(G) \oplus \mathcal{C}_a^k(G)$  in the space of smooth weighted square integrable  $k$ -forms, that is

$$\mathcal{N}_a^k(G) = \{\kappa \in \Omega^k(G) \mid \|\kappa\|_{L_a^2} < \infty, \ll \eta, \kappa \gg_a = 0 \forall \eta \in (\mathcal{E}_a^k(G) \oplus \mathcal{C}_a^k(G))\}. \quad (6.4)$$

Proof :

As an immediate consequence of the boundary conditions  $\mathbf{t}\alpha = 0$  and  $\mathbf{n}\beta = 0$  and the nilpotence of  $d_a$  and  $\delta_a$  we infer from Green's formula (2.24) and the definition of the space  $\mathcal{N}_a^k(G)$  that

$$\ll d_a \alpha, \delta_a \beta \gg_a = 0, \ll d_a \alpha, \lambda \gg_a = 0 \text{ and } \ll \delta_a \beta, \lambda \gg_a = 0 \quad (6.5)$$

for all  $d_a \alpha \in \mathcal{E}_a^k(G)$ ,  $\delta_a \beta \in \mathcal{C}_a^k(G)$  and  $\lambda \in \mathcal{N}_a^k(G)$ . This proves the mutual  $L_a^2$  orthogonality of the spaces. In particular  $\mathcal{N}_a^k(G)$  is a subset of the  $L_a^2$  orthogonal complement of  $\mathcal{E}_a^k(G) \oplus \mathcal{C}_a^k(G)$  in the space of smooth  $k$ -forms. On the other hand, let  $\kappa$  be an arbitrary smooth square integrable form in that complement. Orthogonality to  $\mathcal{E}_a^k(G)$  implies that

$$0 = \ll \kappa, d_a \alpha \gg_a = \ll \delta_a \kappa, \alpha \gg_a \quad \forall \alpha \in \Omega_D^{k-1}(G) \text{ with } \|\alpha\|_{H_{a-1}^1} < \infty. \quad (6.6)$$

Since these differential forms  $\alpha$  constitute a dense subspace of  $L_{a-1}^2 \Omega^{k-1}(G)$ , this shows that  $\delta_a \kappa = 0$ . Similarly it follows from  $\ll \kappa, \delta_a \beta \gg_a = 0$  that  $d_a \kappa = 0$ . Therefore  $\kappa \in \mathcal{N}_a^k(G)$ , which proves Eq. (6.4).  $\square$

### Theorem 6.2

Let  $G \subset \mathbb{R}^n$  be an exterior domain and  $L_a^2 \Omega^k(G)$  the space of weighted square integrable  $k$ -forms, with  $a$  non-exceptional, i.e.  $(a - n/2) \notin \mathbb{Z}$  or  $a \in (-\frac{n}{2} + 1, \frac{n}{2} - 1)$ . Then  $L_a^2 \Omega^k(G)$  splits into the direct sum

$$L_a^2 \Omega^k(G) = L_a^2 \mathcal{E}_a^k(G) \oplus L_a^2 \mathcal{C}_a^k(G) \oplus L_a^2 \mathcal{N}_a^k(G) \quad (6.7)$$

of the  $L_a^2$ -closure of the spaces  $\mathcal{E}_a^k(G)$ ,  $\mathcal{C}_a^k(G)$  and  $\mathcal{N}_a^k(G)$ . In particular, each  $\omega \in L_a^2 \Omega^k(G)$  uniquely decomposes into

$$\omega = d_a \alpha_\omega + \delta_a \beta_\omega + \lambda_\omega \quad \text{with } \alpha_\omega \in H_{a-1}^1 \Omega_D^{k-1}(G), \beta_\omega \in H_{a-1}^1 \Omega_N^{k+1}(G), \quad (6.8)$$

such that  $\|\alpha_\omega\|_{H_{a-1}^1} \leq C \|\omega\|_{L_a^2}$  and  $\|\beta_\omega\|_{H_{a-1}^1} \leq C \|\omega\|_{L_a^2}$ .

Proof :

If  $\omega \in \Omega^k(G)$  is a smooth differential form with  $\|\omega\|_{H_a^1} < \infty$ , then

$$\delta_a \omega \in L_{a+1}^2 \Omega^{k-1}(G) \quad \text{and} \quad \ll \delta_a \omega, \kappa \gg_a = 0 \quad \forall \kappa \in \mathcal{H}_{a-1}^{k,D}(G). \quad (6.9)$$

Hence  $\delta_a \omega$  satisfies the integrability condition (5.7) of Theorem 5.2, and there exists a potential  $\phi_D \in (\mathcal{H}^\perp)_{a-1}^{k,D}(G) \subset H_{a-1}^1 \Omega_D^{k-1}(G)$  such that

$$\ll \delta_a \omega, \xi \gg_a = \mathcal{D}_a(\phi_D, \xi) \quad \forall \xi \in H_{a-1}^1 \Omega_D^{k-1}(G). \quad (6.10)$$

By Corollary 5.4,  $\phi_D$  is smooth. Similarly, by Theorem 5.5, the exterior derivative  $d_a \omega$  determines a smooth potential  $\phi_N \in (\mathcal{H}^\perp)_{a-1}^{k,N}(G) \subset H_{a-1}^1 \Omega_N^{k+1}(G)$  such that

$$\ll d_a \omega, \xi \gg_a = \mathcal{D}_a(\phi_N, \xi) \quad \forall \xi \in H_{a-1}^1 \Omega_N^{k+1}(G). \quad (6.11)$$

Choosing  $\alpha_\omega := \phi_D$  and  $\beta_\omega := \phi_N$ , then  $d_a \alpha_\omega \in \mathcal{E}_a^k(G)$  and  $\delta_a \beta_\omega \in \mathcal{C}_a^k(G)$ , and we may set

$$\lambda_\omega := (\omega - d_a \alpha_\omega - \delta_a \beta_\omega) \in \Omega^k(G). \quad (6.12)$$

By construction,  $\|\lambda_\omega\|_{L_a^2} < \infty$ . With Theorem 5.2 we get for an arbitrary  $d_a \tilde{\alpha} \in \mathcal{E}_a^k(G)$

$$\ll \lambda_\omega, d_a \tilde{\alpha} \gg_a = \ll (\omega - d_a \alpha_\omega), d_a \tilde{\alpha} \gg_a = \mathcal{D}_a(\phi_D, \tilde{\alpha}) - \ll d_a \alpha_\omega, d_a \tilde{\alpha} \gg_a. \quad (6.13)$$

Since  $\alpha_\omega = \phi_D$  and  $\delta_a \phi_D = 0$  by Lemma 5.6, this implies that  $\lambda_\omega$  is orthogonal to  $\mathcal{E}_a^k(G)$ . Similarly

$$\ll \lambda_\omega, \delta \tilde{\beta} \gg_a = 0 \quad \forall \delta \tilde{\beta} \in \mathcal{C}_a^k(G). \quad (6.14)$$

From Proposition 6.1 we then infer that  $\lambda_\omega \in \mathcal{N}_a^k(G)$ , and hence  $\omega \in \Omega^k(G)$  splits into

$$\omega = d_a \alpha_\omega + \delta_a \beta_\omega + \lambda_\omega \quad \text{with } d_a \alpha_\omega \in \mathcal{E}_a^k(G), \delta_a \beta_\omega \in \mathcal{C}_a^k(G), \lambda_\omega \in \mathcal{N}_a^k(G). \quad (6.15)$$

Now consider  $\omega \in L_a^2 \Omega^k(G)$ . There exists a sequence  $\omega_j \in \Omega^k(G)$  with  $\|\omega_j\|_{H_a^1} < \infty$  such that  $\omega_j \rightarrow \omega$  in  $L_a^2 \Omega^k(G)$ . We split  $\omega_j = d_a \alpha_j + \delta_a \beta_j + \lambda_j$ . By Lemma 5.6,  $\alpha_j \in (\mathcal{H}^\perp)_{a-1}^{k,D}(G)$

and  $\delta_a \alpha_j = 0$ . From Lemma 5.1 and the orthogonality of the decomposition we infer that

$$\|\alpha_j - \alpha_i\|_{H_{a-1}^1}^2 \leq C_1 \mathcal{D}_a((\alpha_j - \alpha_i), (\alpha_j - \alpha_i)) = C_1 \ll (d_a \alpha_j - d_a \alpha_i), (\omega_j - \omega_i) \gg_a, \quad (6.16)$$

and by the Cauchy-Schwarz inequality

$$\|\alpha_j - \alpha_i\|_{H_{a-1}^1} \leq C_2 \|\omega_j - \omega_i\|_{L_a^2}. \quad (6.17)$$

Therefore  $\alpha_j$  is a Cauchy sequence, and hence  $\alpha_j \rightarrow \alpha_\omega$  in  $H_{a-1}^1 \Omega_D^{k-1}(G)$  such that  $\|\alpha_\omega\|_{H_{a-1}^1} \leq C \|\omega\|_{L_a^2}$ . Similarly the construction above determines a sequence  $\beta_j \rightarrow \beta_\omega$  in  $H_{a-1}^1 \Omega_N^{k+1}(G)$  such that  $\delta_a \beta_\omega$  is the  $C_a^k$  component of  $\omega$ , and satisfies  $\|\beta_\omega\|_{H_{a-1}^1} \leq C \|\omega\|_{L_a^2}$ . Then also the convergence of  $\lambda_j \rightarrow \lambda_\omega$  in  $L_a^2 \mathcal{N}_a^k(G)$  is guaranteed.  $\square$

As far as the higher order Sobolev spaces  $H_a^s \Omega^k(G)$  are concerned we have the following regularity result for the Hodge-Morrey decomposition:

**Lemma 6.3**

If  $\omega \in H_a^s \Omega^k(G)$ , then the components of the decomposition (6.8) are determined by differential forms  $\alpha_\omega \in H_{a-1}^{s+1} \Omega_D^{k-1}(G)$  and  $\beta_\omega \in H_{a-1}^{s+1} \Omega_N^{k+1}(G)$  which satisfy

$$\|\alpha_\omega\|_{H_{a-1}^{s+1}} \leq C \|\omega\|_{H_a^s} \quad \text{and} \quad \|\beta_\omega\|_{H_{a-1}^{s+1}} \leq C \|\omega\|_{H_a^s}. \quad (6.18)$$

*Proof :*

The differential form  $\alpha_\omega \in (\mathcal{H}^\perp)_{a-1}^{k,D}(G)$  which determines the component of  $\omega$  in  $L_a^2 \mathcal{E}_a^k(G)$  is by construction the unique solution of Eq. (6.10). For  $\omega \in H_a^s \Omega^k(G)$  this is equivalent to the boundary value problem

$$\begin{aligned} \Delta_a \alpha_\omega &= \delta_a \omega & \text{on } G \\ t \alpha_\omega &= 0 \quad \text{and} \quad t \delta_a \alpha_\omega = 0 & \text{on } \partial G. \end{aligned} \quad (6.19)$$

The elliptic estimate (5.16) of Corollary 5.4 then implies that

$$\|\alpha_\omega\|_{H_{a-1}^{s+1}} \leq C (\|\delta_a \omega\|_{H_{a+1}^{s-1}} + \|\alpha_\omega\|_{L_{a-1}^2}). \quad (6.20)$$

Moreover, by Theorem 6.2,  $\|\alpha_\omega\|_{L_{a-1}^2} \leq \|\omega\|_{L_a^2}$ , which proves the estimate (6.18) for  $\alpha_\omega$ . The result for  $\beta$  is shown correspondingly.  $\square$

Conversely, the Hodge-Morrey decomposition allows to estimate the differential form  $\omega$  belonging to certain subspaces of  $H_a^s \Omega^k(G)$  uniformly by their exterior derivative and its co-differential. As the first result of this type we have:

**Lemma 6.4**

If  $s \geq 1$  and  $a$  is not exceptional, then

$$\|\omega\|_{H_a^s} \leq C \left( \|d_a \omega\|_{H_{a+1}^{s-1}} + \|\delta_a \omega\|_{H_{a+1}^{s-1}} \right) \quad \forall \omega \in H_a^s \mathcal{E}_a^k(G) \oplus H_a^s \mathcal{C}_a^k(G), \quad (6.21)$$

with a universal constant  $C$  depending on  $s$ ,  $a$  and the geometry of  $\partial G$ .

**Proof :**

Since  $\omega \in H_a^s \Omega^k(G)$  is  $L_a^2$ -orthogonal to the space  $\mathcal{N}_a^k(G)$ , the decomposition (6.8) yields

$$\|\omega\|_{H_a^s} \leq \|d_a \alpha_\omega\|_{H_a^s} + \|\delta_a \beta_\omega\|_{H_a^s} \leq \|\alpha_\omega\|_{H_{a-1}^{s+1}} + \|\beta_\omega\|_{H_{a-1}^{s+1}}. \quad (6.22)$$

By construction  $\alpha_\omega$  is solution of the boundary value problem (6.19), and the corresponding elliptic estimate (6.20) implies that

$$\|\alpha_\omega\|_{H_{a-1}^{s+1}} \leq C_1 (\|\delta_a \omega\|_{H_{a+1}^{s-1}} + \|\alpha_\omega\|_{L_{a-1}^2}). \quad (6.23)$$

By construction,  $\alpha_\omega \in (\mathcal{H}^\perp)_{a-1}^{k,D}(G)$  and  $\delta_a \alpha_\omega = 0$ . From the estimate (5.3) of Lemma 5.1 and the  $L_a^2$  orthogonality of (6.8) we then infer that

$$\|\alpha_\omega\|_{L_{a-1}^2}^2 \leq C_2 \mathcal{D}_a(\alpha_\omega, \alpha_\omega) = C_2 \ll d_a \alpha_\omega, \omega \gg_a. \quad (6.24)$$

Therefore, by Green's formula (2.24),

$$\|\alpha_\omega\|_{L_{a-1}^2}^2 \leq C_3 \ll \alpha_\omega, \delta_a \omega \gg_a \leq C_3 \|\alpha_\omega\|_{L_{a-1}^2} \|\delta_a \omega\|_{L_{a+1}^2}, \quad (6.25)$$

which proves that  $\|\alpha_\omega\|_{L_{a-1}^2} \leq C_3 \|\delta_a \omega\|_{H_{a+1}^{s-1}}$ . Similar apply to  $\beta_\omega$ , so that

$$\|\beta_\omega\|_{L_{a-1}^2} \leq C_4 \|d_a \omega\|_{H_{a+1}^{s-1}}. \quad (6.26)$$

In view of (6.22) this proves the result.  $\square$

The estimate (6.21) provides us with a special version of what is called Korn's inequality in continuum mechanics. In the differential form calculus such type of inequalities we first studied by Friedrichs [F], who's own investigations into the Hodge decomposition was motivated by this problem. For exterior domains  $G \subset \mathbb{R}^3$  a recent result is found in [W2], where the corresponding estimate for the unweighted  $L^p$  norm on the spaces  $\Omega_D^1(G)$  and  $\Omega_N^k(G)$  is given, cf. also the following section.

## 7. Decomposition results under boundary conditions

Corresponding decomposition results can be established also for the spaces  $\Omega_D^k(G)$  and  $\Omega_N^k(G)$  of differential forms satisfying the boundary conditions  $t\omega = 0$  and  $n\omega = 0$ , respectively. We start with defining the spaces

$$\mathcal{N}_a^{k,D}(G) = \mathcal{N}_a^k(G) \cap \Omega_D^k(G) \quad \text{and} \quad \mathcal{N}_a^{k,N}(G) = \mathcal{N}_a^k(G) \cap \Omega_N^k(G) \quad (7.1)$$

of smooth harmonic fields in  $\Omega^k(G)$  which satisfy the respective boundary conditions. Their completions in  $H_a^1\Omega^k(G)$  are denoted by  $H_a^1\mathcal{N}_a^{k,D}(G)$  and  $H_a^1\mathcal{N}_a^{k,N}(G)$ . The inclusion  $H_a^1\Omega^k(G) \subset H_{a-1}^1\Omega^k(G)$  implies that these spaces are contained in the spaces  $\mathcal{H}_{a-1}^{k,D}(G)$  respectively  $\mathcal{H}_{a-1}^{k,N}(G)$ , discussed in section 4, that is  $H_a^1\mathcal{N}_a^{k,D}(G) \subset \mathcal{H}_{a-1}^{k,D}(G)$  and  $H_a^1\mathcal{N}_a^{k,N}(G) \subset \mathcal{H}_{a-1}^{k,N}(G)$ .

### Theorem 7.1

If  $G \subset \mathbb{R}^n$  is an exterior domain and  $a \neq (1 - n/2)$ , the spaces  $H_a^1\mathcal{N}_a^{k,D}(G)$  and  $H_a^1\mathcal{N}_a^{k,N}(G)$  are finite dimensional. Moreover, if  $a$  is not exceptional, all their elements are smooth differential forms.

Proof :

Let  $D_{\mathcal{H}} = \{\lambda \in \mathcal{H}_{a-1}^{k,D}(G) \mid \|\lambda\|_{H_{a-1}^1} \leq 1\}$  be the unit disk in  $\mathcal{H}_{a-1}^{k,D}(G)$ . By Gaffney's inequality (4.25) the  $H_{a-1}^1$  norm and the  $L_{a-2}^2$  norm are equivalent on  $D_{\mathcal{H}}$ , that is

$$\|\lambda\|_{H_{a-1}^1}^2 \leq C_a \|\lambda\|_{L_{a-2}^2}^2 \quad \forall \lambda \in D_{\mathcal{H}}. \quad (7.2)$$

Thus  $D_{\mathcal{H}}$  is closed in the  $L_{a-2}^2$  topology. Since the embedding  $H_{a-1}^1\Omega^k(G) \hookrightarrow L_{a-2}^2\Omega^k(G)$  is compact, this implies that  $D_{\mathcal{H}}$  is compact. Therefore the space  $\mathcal{H}_{a-1}^{k,D}(G)$  is finite dimensional, and so is its subspace  $H_a^1\mathcal{N}_a^{k,D}(G)$ . Moreover, each  $\lambda \in H_a^1\mathcal{N}_a^{k,D}(G)$  (weakly) satisfies the elliptic boundary value problem

$$\begin{aligned} \Delta_a \lambda &= 0 & \text{on } G \\ t\lambda &= 0 \text{ and } t\delta_a \lambda = 0 & \text{on } \partial G. \end{aligned} \quad (7.3)$$

By Theorem 5.3 this is also a strong solution, which hence is smooth. For  $H_a^1\mathcal{N}_a^{k,N}(G)$  the same argument applies.  $\square$

For the basic weight parameter  $a = 0$  the spaces of harmonic fields in  $\Omega_D^k(G)$  on exterior domains with (possibly non-smooth) boundary have been studied extensively in [D] and [P]. In particular, these authors show, how to relate the dimensions of  $\mathcal{N}_a^{k,D}(G)$  and  $\mathcal{N}_a^{k,N}(G)$  to the Betti number of the domain  $G$ , cf. also [S2].

Turning toward the Hodge decomposition under boundary conditions we need to define the space

$$\widehat{C}_a^k(G) := \{ \delta_a \widehat{\beta} \mid \widehat{\beta} \in \Omega^{k+1}(G), \ t\widehat{\beta} = 0, \ t\delta_a \widehat{\beta} = 0, \ \|\widehat{\beta}\|_{H_{a-1}^1} < \infty \}. \quad (7.4)$$

The the arguments of Proposition 6.1 apply literally to the case under consideration. That is

$$\mathcal{N}_a^{k,D}(G) = \{\kappa \in \Omega_D^k(G) \mid \|\kappa\|_{L_a^2} < \infty, \ll \eta, \kappa \gg_a = 0 \forall \eta \in (\mathcal{E}_a^k(G) \oplus \widehat{\mathcal{C}}_a^k(G))\}, \quad (7.5)$$

and the space  $\widehat{\mathcal{C}}_a^k(G)$  is orthogonal with respect to the  $L_a^2$  scalar product to  $\mathcal{E}_a^k(G)$ , as defined by (6.1).

### Theorem 7.2

If  $G \subset \mathbb{R}^n$  is an exterior domain and  $a$  is not exceptional, the space  $H_a^1 \Omega_D^k(G)$  of differential forms satisfying the boundary condition  $\mathbf{t}\omega = 0$  splits into the direct sum

$$H_a^1 \Omega_D^k(G) = H_a^1 \mathcal{E}_a^k(G) \oplus H_a^1 \widehat{\mathcal{C}}_a^k(G) \oplus \mathcal{H}_a^1 \mathcal{N}_a^{k,D}(G). \quad (7.6)$$

This decomposition is  $L_a^2$  orthogonal. If  $\omega \in H_a^s \Omega_D^k(G)$ , then  $\omega = d_a \alpha_\omega + \delta_a \widehat{\beta}_\omega + \widehat{\lambda}_\omega$ , and differential forms  $\alpha_\omega$  and  $\widehat{\beta}_\omega$  satisfy the estimate

$$\|\alpha_\omega\|_{H_{a-1}^{s+1}} \leq C \|\omega\|_{H_a^s} \quad \text{and} \quad \|\widehat{\beta}_\omega\|_{H_{a-1}^{s+1}} \leq C \|\omega\|_{H_a^s}. \quad (7.7)$$

Proof :

Given  $\omega \in H_a^1 \Omega_D^k(G)$  the component  $d_a \alpha_\omega$  is constructed as in Theorem 6.2. From the boundary condition  $\mathbf{t}\omega = 0$  we infer that

$$\ll d_a \omega, \kappa \gg_a = \ll \omega, \delta_a \kappa \gg_a = 0 \quad \forall \kappa \in \mathcal{H}_{a-1}^{k,D}(G). \quad (7.8)$$

Therefore  $d_a \omega$  has a potential  $\widehat{\phi}_D \in (\mathcal{H}_{a-1}^{k,D})^\perp(G)$  in the sense of Theorem 5.2, which is, by Corollary 5.4, the unique solution of the boundary value problem

$$\begin{aligned} \Delta_a \widehat{\phi}_D &= d_a \omega & \text{on } G \\ \mathbf{t}\widehat{\phi}_D &= 0 \quad \text{and} \quad \mathbf{t}\delta_a \widehat{\phi}_D = 0 & \text{on } \partial G. \end{aligned} \quad (7.9)$$

Moreover  $\ll d_a \delta_a \widehat{\phi}_D, \delta_a d_a \widehat{\phi}_D \gg_a = 0$ , which follows from  $\mathbf{t}\widehat{\phi}_D = 0$ . Arguing as in the proof of Lemma 5.6, this implies that  $d_a \widehat{\phi}_D = 0$ . Now we set

$$\widehat{\beta}_\omega := \widehat{\phi}_D \quad \text{and} \quad \widehat{\lambda}_\omega := (\omega - d_a \alpha_\omega - \delta_a \widehat{\beta}_\omega). \quad (7.10)$$

Since, by construction,  $d_a \widehat{\beta}_\omega = 0$ , the argument of (6.13) applies accordingly, that is

$$\ll \widehat{\lambda}_\omega, \delta_a \widetilde{\beta} \gg_a = \ll (\omega - \delta_a \widehat{\beta}_\omega), \delta_a \widetilde{\beta} \gg_a = \mathcal{D}_a(\widehat{\phi}_D, \widetilde{\beta}) - \ll \delta_a \widehat{\beta}_\omega, \delta_a \widetilde{\beta} \gg_a = 0 \quad (7.11)$$

for all  $\delta_a \widetilde{\beta} \in \widehat{\mathcal{C}}_a^k(G)$ . Therefore  $\widehat{\lambda}_\omega$  is  $L_a^2$  orthogonal to the space  $\widehat{\mathcal{C}}_a^k(G)$ . Since also

$$\ll \widehat{\lambda}_\omega, d_a \widetilde{\alpha} \gg_a = 0 \quad \forall d_a \widetilde{\alpha} \in E_a^k(G), \quad (7.12)$$

this proves that  $\widehat{\lambda}_\omega \in \mathcal{N}_a^{k,D}(G)$ . Thus the decomposition (7.6) is established. The regularity result and the estimate (7.7) then follow literally as in Lemma 6.3.  $\square$

It is obvious that under the boundary condition  $\mathbf{n}\omega = 0$  a corresponding result holds true. Defining

$$\widehat{\mathcal{E}}_a^k(G) := \{d_a \widehat{\alpha} \mid \widehat{\alpha} \in \Omega^{k-1}(G), \mathbf{n}\widehat{\alpha} = 0, \mathbf{n}d_a \widehat{\alpha} = 0, \|\widehat{\alpha}\|_{H_{a-1}^1} < \infty\} \quad (7.13)$$

we have:

**Theorem 7.3**

If  $G \subset \mathbb{R}^n$  is an exterior domain and  $a$  is not exceptional, the space  $H_a^1 \Omega_N^k(G)$  splits into

$$H_a^1 \Omega_N^k(G) = H_a^1 \widehat{\mathcal{E}}_a^k(G) \oplus H_a^1 \mathcal{C}_a^k(G) \oplus \mathcal{H}_a^1 \mathcal{N}_a^{k,N}(G). \quad (7.14)$$

This decomposition is  $L_a^2$  orthogonal. If  $\omega \in H_a^s \Omega_D^k(G)$ , then  $\omega = d_a \widehat{\alpha}_\omega + \delta_a \beta_\omega + \widehat{\lambda}_\omega$ , where  $\widehat{\lambda} \in \mathcal{H}_a^s \mathcal{N}_a^{k,N}(G)$ , and differential forms  $\widehat{\alpha}_\omega$  and  $\beta_\omega$  satisfy the estimate

$$\|\widehat{\alpha}_\omega\|_{H_{a-1}^{s+1}} \leq C \|\omega\|_{H_a^s} \quad \text{and} \quad \|\beta_\omega\|_{H_{a-1}^{s+1}} \leq C \|\omega\|_{H_a^s}. \quad (7.15)$$

On the basis of the decomposition results of Theorem 7.2 and 7.3 the proof of Lemma 6.4 literally generalises to the case of differential forms in  $\Omega_D^k(G)$  and  $\Omega_N^k(G)$ . We have:

**Lemma 7.4**

If  $s \geq 1$  and  $a$  is not exceptional, then

$$\|\omega\|_{H_a^s} \leq C \left( \|d_a \omega\|_{H_{a+1}^{s-1}} + \|\delta_a \omega\|_{H_{a+1}^{s-1}} \right) \quad \forall \omega \in H_a^s \mathcal{E}_a^k(G) \oplus H_a^s \widehat{\mathcal{C}}_a^k(G). \quad (7.16)$$

with a universal constant  $C$  depending on  $s, a$  and the geometry of  $\partial G$ . Correspondingly, the estimate (7.16) holds on the spaces  $H_a^s \widehat{\mathcal{E}}_a^k(G) \oplus H_a^s \mathcal{C}_a^k(G)$ .

This version of Korn's inequality for differential forms satisfying the boundary condition  $\mathbf{t}\omega = 0$  or  $\mathbf{n}\omega = 0$  is more common in the literature than the result of Lemma 6.4. It is of particular importance that by Theorem 7.1 the spaces  $\mathcal{N}_a^{k,D}(G)$  and  $\mathcal{N}_a^{k,N}(G)$  are finite dimensional. Consequently Korn's inequality holds true for all differential forms satisfying either of the homogeneous boundary conditions above, modulo a finite dimensional subspace. Moreover, one can show that an estimate of the form (7.16) also holds true on the space

$$H_a^1 \Omega_0(G) := \{\omega \in H_a^1 \Omega^k(G) \mid \mathbf{t}\omega = 0 \text{ and } \mathbf{n}\omega = 0\}. \quad (7.17)$$

This is due to the fact that  $\mathcal{N}_a^{k,D}(G) \cap \mathcal{N}_a^{k,N}(G) = \emptyset$ . For a precise argument, see Lemma 2.4.10 in [S2].



## 8. Boundary value problems for differential forms

It has been discussed in [S1] that the method of Hodge decomposition provides a useful tool to solve boundary value for differential forms. Here will illustrate the Hodge decomposition technique at the example of two special exterior domain problem, and restrict ourselves – for sake of simplicity – to homogeneous boundary conditions.

### Lemma 8.1

Let  $\rho \in H_{a+1}^{s-1}\Omega^{k-1}(G)$  and  $\chi \in H_{a+1}^{s-1}\Omega^{k+1}(G)$  satisfy the integrability conditions

$$\delta_a \rho = 0 \quad , \quad \ll \rho, \tilde{\kappa} \gg_a = 0 \quad \forall \tilde{\kappa} \in \mathcal{H}_{a-1}^{k-1,D}(G) \quad (8.1)$$

$$d_a \chi = 0 \quad , \quad t\chi = 0 \quad \text{and} \quad \ll \chi, \kappa \gg_{a+1} = 0 \quad \forall \kappa \in \mathcal{N}_{a+1}^{k+1,D}(G) . \quad (8.2)$$

Then the boundary value problem

$$\begin{aligned} d_a \omega &= \chi \quad \text{and} \quad \delta_a \omega = \rho && \text{on } G \\ t\omega &= 0 && \text{on } \partial G \end{aligned} \quad (8.3)$$

has a unique solution  $\omega_0 \in H_a^s \Omega^k(G)$ , which is  $L_a^2$ -orthogonal to  $\mathcal{N}_a^{k,D}(G)$ . This solution can be estimated by

$$\|\omega_0\|_{H_a^s} \leq C \left( \|\chi\|_{H_{a+1}^{s-1}} + \|\rho\|_{H_{a+1}^{s-1}} \right) . \quad (8.4)$$

Any other solution of (8.3) differs from  $\omega_0$  by an element of  $\mathcal{N}_a^{k,D}(G)$ .

Proof :

By assumption,  $\rho$  is orthogonal to the space  $\mathcal{H}_{a-1}^{k-1,D}(G)$  with respect to the pairing  $\ll, \gg_a$ . Hence there exists a unique potential  $\tilde{\phi}_D \in (\mathcal{H}_{a-1}^\perp)^{k-1,D}(G)$  in the sense of Theorem 5.2. By Corollary 5.4 it is a strong solution of the boundary value problem

$$\begin{aligned} \Delta_a \tilde{\phi}_D &= \rho && \text{on } G \\ t\tilde{\phi}_D &= 0 \quad \text{and} \quad t\delta_a \tilde{\phi}_D = 0 && \text{on } \partial G , \end{aligned} \quad (8.5)$$

which satisfies  $\|\tilde{\phi}_D\|_{H_{a-1}^{s+1}} \leq C_1 (\|\rho\|_{H_{a+1}^{s-1}} + \|\tilde{\phi}_D\|_{L_{a-1}^2})$ . Using Green's formula (2.24) we then infer from the boundary condition  $t\delta_a \tilde{\phi}_D = 0$  and the integrability condition  $\delta_a \rho = 0$  that

$$\|\rho - \delta_a d_a \tilde{\phi}_D\|_{L_{a+1}^2}^2 = \ll d_a \delta_a \tilde{\phi}_D, (\rho - \delta_a d_a \tilde{\phi}_D) \gg_{a+1} = 0 . \quad (8.6)$$

Therefore  $\omega_\rho := d_a \tilde{\phi}_D \in H_a^s \Omega^k(G)$  is a solution of the problem

$$\delta_a \omega_\rho = \rho \quad , \quad d_a \omega_\rho = 0 \quad \text{and} \quad t\omega_\rho = 0 . \quad (8.7)$$

Moreover, by (2.24)  $\omega_\rho$  is orthogonal to  $\mathcal{N}_a^{k,D}(G)$  and satisfies the estimate  $\|\omega_\rho\|_{H_a^s} \leq C_2 \|\rho\|_{H_{a+1}^{s-1}}$ .

On the other hand, the Hodge-Morrey decomposition (6.8) applied to  $\chi \in H_{a+1}^{s-1}\Omega^{k+1}(G)$  yields

$$\chi = d_a \alpha_\chi + \delta_a \beta_\chi + \kappa_\chi. \quad (8.8)$$

From the integrability conditions  $d_a \chi = 0$  we infer that  $\delta_a \beta_\chi = 0$ . Hence the condition  $t\chi = 0$  implies that  $\kappa_\chi \in \mathcal{N}_{a+1}^{k+1,D}(G)$ . By assumption,  $\chi$  is orthogonal to  $\mathcal{N}_{a+1}^{k+1,D}(G)$  so that  $\kappa_\chi = 0$  and hence  $\chi = d_a \alpha_\chi \in H_{a+1}^{s-1}\mathcal{E}_{a+1}^{k+1}(G)$ . From the construction of the Hodge component  $\alpha_\chi$ , cf. Theorem 6.2, we infer that  $\delta_a \alpha_\chi = 0$  and  $\ll \alpha_\chi, \tilde{\lambda} \gg_a = 0$  for all  $\tilde{\lambda} \in \mathcal{N}_a^{k,D}(G)$ . Therefore,  $\omega := \omega_\rho + \alpha_\chi$  is a solution of the problem

$$\delta_a \omega = \rho, \quad d_a \omega = \chi \quad \text{and} \quad t\omega = 0, \quad (8.9)$$

which is orthogonal to  $\mathcal{N}_a^{k,D}(G)$ . By the estimate for  $\|\omega_\rho\|_{H_a^s}$  and Lemma 6.3 this solution satisfies the demanded inequality (8.4). Finally any other solution of (8.3) has to be a harmonic field with vanishing tangential component, i.e. an element in  $\mathcal{N}_a^{k,D}(G)$ .  $\square$

In the context of the Atiyah-Singer index theorem, the problem (8.3) may be understood as a Dirichlet boundary value problem for the Dirac type operator

$$(d + \delta) : \bigoplus_{k=0..n} \Omega^k(G) \longrightarrow \Omega^e(G) \oplus \Omega^o(G), \quad (8.10)$$

on the exterior algebra bundle. Here  $\Omega^e(G)$  and  $\Omega^o(G)$  denote the algebra of differential forms of (arbitrary) even and odd degree, respectively. Hence  $\bigoplus \Omega^k(G) = \Omega^e(G) \oplus \Omega^o(G)$  can be considered as the space of sections in a  $\mathbb{Z}_2$ -graded vector bundle.

### Lemma 8.2

Let  $\eta \in H_a^s \Omega^k(G)$  and  $n\eta$  be its normal component on  $\partial G$ . Then there exists a differential form  $\sigma \in H_{a-1}^{s+1} \Omega^{k-1}(G)$  such that

$$\sigma|_{\partial G} = 0 \quad \text{and} \quad n(d\sigma) = n\eta. \quad (8.11)$$

It satisfies  $\|\sigma\|_{H_{a-1}^{s+1}} \leq C \|\eta\|_{H_a^s}$ , where  $C$  only depends on  $s$ ,  $a$  and the geometry of  $\partial G$ .

Proof :

Given a normal frame in the sense of (2.10) in a neighborhood of the boundary, that is a local frame of the form  $\mathcal{F}_N = (N, F_2, \dots, F_n)$  on  $U \subset G$ . If  $\eta \in \Omega^k(G)$  is smooth, the component  $n\eta$  on  $\partial G \cap U$  is uniquely determined by the set smooth functions  $\eta(N, F_{\varphi(2)}, \dots, F_{\varphi(k)})$ , where the permutations  $\varphi$  run over all the  $\binom{n-1}{k-1}$  shuffles of the fields  $(F_2, \dots, F_n)$ . From  $\sigma|_{\partial G} = 0$  and (2.4) we infer that

$$n(d\sigma)(N, F_{\varphi(2)}, \dots, F_{\varphi(k-1)}) = D[\sigma(F_{\varphi(2)}, \dots, F_{\varphi(k-1)})](N). \quad (8.12)$$

Hence, the extension problem (8.11) reduces to solve locally on  $U$  the system

$$\begin{aligned} \sigma(F_{\varphi(2)}, \dots, F_{\varphi(k)})|_{\partial G \cap U} &= 0 \\ \text{and} \quad D[\sigma(F_{\varphi(2)}, \dots, F_{\varphi(k)})](N) &= \dot{\eta}(N, F_{\varphi(2)}, \dots, F_{\varphi(k)}) \end{aligned} \quad (8.13)$$

for each permutation  $\varphi$ . Using the tubular neighborhood theorem, [H1], one shows that each of these  $\binom{n-1}{k-1}$  scalar problems allow for a smooth extension  $\sigma(F_{\varphi(2)}, \dots, F_{\varphi(k)})$  to  $U \subset G$ , which is compactly supported in  $U$ . These extensions can be chosen such that

$$\|\sigma(F_{\varphi(2)}, \dots, F_{\varphi(k)})\|_{H_{a+1}^{s+1}(U)} \leq C_1 \|\eta(N, F_{\varphi(2)}, \dots, F_{\varphi(k)})\|_{H^{s-1/2}(U \cap \partial G)} \quad (8.14)$$

with  $C_1$  depending only on  $s, a$  and the geometry of  $\partial G \cap U$ . Besides (8.13), the boundary condition  $\sigma|_{\partial G} = 0$  yields another set of problems, namely

$$\sigma(N, F_{\varphi'(2)}, \dots, F_{\varphi'(k-1)})|_{\partial G \cap U} = 0, \quad (8.15)$$

which are solved by the trivial extension  $\sigma(N, F_{\varphi'(2)}, \dots, F_{\varphi'(k-1)}) \equiv 0$  on  $U$ . Since all the problems of (8.13) and (8.15) are mutually independent of each other, one can construct a smooth compactly supported  $\sigma \in \Omega_c^{k-1}(U)$ . By compactness of  $\partial G$  there is a finite number of neighborhoods  $U_\alpha$  covering the boundary such that on which the construction above can be performed on each  $U_\alpha$ . By a partition of unity argument the respective (locally defined) differential forms glue together to a global solution  $\sigma \in \Omega_c^{k-1}(G)$  of (8.11). By construction,

$$\|\sigma\|_{H_{a+1}^{s+1}(G)} \leq C_2 \|\eta\|_{H^{s-1/2}(\partial G)} \leq C_3 \|\eta\|_{H_a^s(G)}, \quad (8.16)$$

where the last inequality follows from the trace theorem. Finally, if  $\eta_j \rightarrow \eta$  in  $H_a^s \Omega^k(G)$  and each  $\eta_j$  is smooth, then there exists a sequence  $\sigma_j$  of smooth compactly supported forms satisfying (8.11), and the statement of the Lemma follows from (8.16).  $\square$

### Theorem 8.3

Let  $G$  be an exterior domain and  $a$  not exceptional. If  $\chi \in H_{a+1}^{s-1} \Omega^{k+1}(G)$  satisfies the integrability conditions

$$d_a \chi = 0, \quad t\chi = 0 \quad \text{and} \quad \ll \chi, \kappa \gg_{a+1} = 0 \quad \forall \kappa \in \mathcal{N}_{a+1}^{k+1,D}(G), \quad (8.17)$$

then the boundary value problem

$$\begin{aligned} d_a \omega &= \chi && \text{on } G \\ t\omega &= 0 \quad \text{and} \quad n\omega = 0 && \text{on } \partial G \end{aligned} \quad (8.18)$$

has a solution  $\omega \in H_a^s \Omega^k(G)$ . This solution can be chosen such that  $\|\omega\|_{H_a^s} \leq C \|\chi\|_{H_{a+1}^{s-1}}$  with a universal constant  $C$ .

Proof :

The Hodge-Morrey decomposition (6.8) of  $\chi \in H_{a+1}^{s-1} \Omega^{k+1}(G)$  yields

$$\chi = d_a \alpha_\chi + \delta_a \beta_\chi + \kappa_\chi. \quad (8.19)$$

As in the proof of Lemma 8.1 the integrability conditions  $d_a \chi = 0$ ,  $t\chi = 0$  and the orthogonality of  $\chi$  to  $\mathcal{N}_{a+1}^{k+1,D}(G)$  imply that  $\chi = d_a \alpha_\chi$  with  $\alpha_\chi \in H_a^s \Omega_D^k(G)$ . Moreover,

$\|\alpha_\chi\|_{H_a^s} \leq C_1 \|\chi\|_{H_{a-1}^{s+1}}$ , by Theorem 6.3. Having fixed  $\alpha_\chi$ , there exists by Lemma 8.2 a differential form  $\sigma_\chi \in H_{a-1}^{s+1} \Omega^{k-1}(G)$  such that

$$\sigma_\chi|_{\partial G} = 0 \quad \text{and} \quad \mathbf{n}(d\sigma_\chi) = \mathbf{n}\alpha_\chi, \quad (8.20)$$

which can be chosen so that  $\|\sigma_\chi\|_{H_{a-1}^{s+1}} \leq C_2 \|\alpha_\chi\|_{H_a^s}$ . Then  $\omega_\chi := \alpha_\chi + d_a \sigma_\chi \in H_a^s \Omega^k(G)$  satisfies the equation  $d_a \omega = \chi$  and the boundary condition  $\mathbf{n}\omega_\chi = 0$ . Moreover, since  $\mathbf{t}\sigma_\chi = 0$  implies that  $\mathbf{t}d_a \sigma_\chi = 0$ , this proves that  $\omega_\chi$  solves the problem (8.18).  $\square$

By the  $\star$ -duality we have:

#### Corollary 8.4

*The boundary value problem*

$$\begin{aligned} \delta_a \omega &= \rho & \text{on } G \\ \mathbf{t}\omega &= 0 \quad \text{and} \quad \mathbf{n}\omega = 0 & \text{on } \partial G \end{aligned} \quad (8.21)$$

is solvable in  $H_a^s \Omega^k(G)$  for each  $\rho \in H_{a+1}^{s-1} \Omega^{k-1}(G)$  satisfying

$$\delta_a \rho = 0, \quad \mathbf{n}\rho = 0 \quad \text{and} \quad \ll \rho, \kappa \gg_{a+1} = 0 \quad \forall \kappa \in \mathcal{N}_{a+1}^{k-1, N}(G). \quad (8.22)$$

We note that elliptic techniques are not appropriate to treat the problems (8.18) and (8.21), since the exterior derivative  $d_a$  is not an elliptic operator. In fact, these problems are to be considered as an underdetermined system, cf. [R-S]. The study of this particular type of equations is motivated by its importance for applications.

As a special example the Stokes equation in hydrodynamics should be mentioned. In order to solve the related static problem, a precise knowledge is needed about the range of the divergence operator acting on vector fields  $Y \in \mathcal{X}(G)$  subject to the boundary condition  $Y|_{\partial G} = 0$ . That is, one has to solve the boundary value problem

$$\operatorname{div} Y = \rho \quad \text{and} \quad Y|_{\partial G} \equiv 0 \quad (8.23)$$

in a certain Sobolev space and control the norm of this solution. By means of the equivalence between vector analysis and the differential form calculus on  $\Omega^1(G)$ , discussed in Section 2, the divergence corresponds the co-differential operator  $\delta_a$ . Hence the problem (8.23) is solved by Corollary 8.4. The same problem has been treated recently in [W3], where quite different techniques are applied.

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