

EQUATIONALLY COMPACT

ARTINIAN RINGS

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## EQUATIONALLY COMPACT ARTINIAN RINGS

By a Noetherian (Artinian) ring  $R = \langle R; +, -, 0, \cdot \rangle$  we mean an associative ring satisfying the ascending (descending) chain condition on left ideals. An arbitrary ring  $R$  is said to be *equationally compact* if every system of ring polynomial equations with constants in  $R$  is simultaneously solvable in  $R$  provided every finite subset is. (The reader is referred to [2], [8], [13] and [14] for terminology and relevant results on equational compactness, and to [4] for unreferenced ring-theoretical results.) In this report a characterization of equationally compact Artinian rings is given - roughly speaking, these are the finite direct sums of finite rings and Prüfer groups; as consequences it is shown that an equationally compact ring satisfying both chain conditions is always finite, as is any Artinian ring which is a compact topological ring; further, using a result of S. Warner [11], we give a necessary and sufficient condition for an equationally compact Noetherian ring with identity to be a compact topological ring; a few remarks on the embedding of certain rings into equationally compact rings are made, and we obtain also here generalizations of known results on compact topological rings.

The material forms a part of the author's Ph.D. thesis.

Preliminary results. We begin by deriving a few useful tools. Let  $R$  be a ring and  $A$  an ideal of  $R$  ("ideal" always means two-sided ideal), and let  $\Sigma$  be a system of equations with constants in  $A$ . If  $(x_0, x_1, \dots, x_\gamma, \dots)_{\gamma < \alpha}$  are the variables occurring in  $\Sigma$ ; then the solution set of  $\Sigma$  in  $R$  is a certain subset  $S$  of  $R^\alpha$ . If such a system  $\Sigma$  exists such that the projection of  $S$  onto the first component is the ideal  $A$ , then we shall say that  $A$  is *expressible by equations*. For example, if  $R$  has an identity and  $A$  is finitely generated as a left ideal, then  $A$  is expressible by the equation  $x_0 = x_1 a_1 + \dots + x_n a_n$ , where  $a_1, \dots, a_n$  generate  $A$ .

If  $x$  is a variable and  $A$  is an ideal of  $R$ , then " $x \in A$ " will denote, quite naturally, the relational predicate  $A(x)$  for the unary relation  $A$  on  $R$ .

We will make recurrent use of the following observation:

Remark. Let  $R$  be an equationally compact ring. Suppose  $(A_i \mid i \in I)$  is a family of ideals of  $R$ , each of which is expressible by equations, and suppose  $(x_i \mid i \in I)$  is a family of variables. Let  $\Sigma$  be a set of equations with constants in  $R$ . Then the system of formulas

$$\Omega := \Sigma \cup \{x_i \in A_i; i \in I\}$$

is solvable in  $R$  provided it is finitely solvable in  $R$ .

proof: Let  $A_i$  be expressible by the system  $\Sigma_i$ ,  $i \in I$ ; let  $(x_{\alpha_i}, x_{\beta_i}, \dots, x_{\gamma_i}, \dots)_{\gamma < \alpha_i}$  denote the variables appearing in  $\Sigma_i$ , whereby it is assumed that the variables  $x_{\gamma_i}$  and  $x_{\delta_j}$  are distinct if  $i \neq j$  or  $\gamma \neq \delta$ , and that no  $x_{\gamma_i}$  occurs in  $\Sigma$ . Now the finite solvability of  $\Omega$  implies the finite solvability of the system of equations

$$\bigcup (\Sigma_i \mid i \in I) \cup \{x_i = x_{\alpha_i}; i \in I\} \cup \Sigma,$$

which is then solvable by the equational compactness of  $R$ , and a solution obviously yields a solution of  $\Omega$  in  $R$ . q.e.d.

Proposition 1. Let  $R$  be a ring and  $A$  an ideal of  $R$  such that  $A$  is expressible by equations and  $R$  is equationally compact. Then  $R/A$  and  $A$  are equationally compact rings.

proof: Suppose  $\Sigma = \{\phi_i = 0; i \in I\}$  is a system of equations with constants in  $R/A$  and finitely solvable in  $R/A$ . Now each  $\phi_i$  induces a polynomial in  $R$ , say  $\phi_i'$ , by replacing the constants by arbitrary representatives in  $R$ . If  $z_i, i \in I$ , are variables not occurring in  $\Sigma$ , then the system

$$\{\phi_i' = z_i; i \in I\} \cup \{z_i \in A; i \in I\}$$

is clearly finitely solvable in  $R$ , hence (by the last Remark) solvable in  $R$ , and any solution taken modulo  $A$  yields a solution for  $\Sigma$  in  $R/A$ . Thus  $R/A$  is equationally compact, and a similar argument shows that  $A$  is equationally compact.

Next we derive a useful remark on matrix rings.

Proposition 2. Let  $R$  be a ring with identity, let  $\aleph$  be a nonzero cardinal and let  $S = M_{\aleph \times \aleph}(R)$  (i.e.,  $S$  is the ring of linear transformations on the free  $R$ -module  $F$  on  $\aleph$  generators). Then  $S$  is equationally compact if and only if  $R$  is equationally compact and  $\aleph$  is finite.

proof: Sufficiency. If  $\Sigma$  is a finitely solvable system of equations with constants in  $S$  then by replacing each variable  $x$  by the variable matrix  $(x_{ij} \mid 1 \leq i, j \leq \aleph)$ , every equation in  $\Sigma$  reduces in the obvious fashion to a system over  $R$ , finitely solvable in  $R$ , hence solvable in  $R$ ; such a solution yields a solution for  $\Sigma$  in  $S$ .

Necessity. Let  $I$  be a set with cardinality  $\aleph$  and let  $\{e_i; i \in I\}$  be a basis for  $F$ . Fix  $i_0 \in I$ . For each  $i \in I$  define  $\pi_i \in S$  as follows:  $\pi_i(e_j) = \delta_{ji} e_{i_0}$ , for all  $j \in I$ . Let  $p_i$  be the retraction of  $F$  onto  $Re_i$ . Then the system

$$\Sigma = \{p_i x = \pi_i; i \in I\}$$

is finitely solvable (for a finite subset  $J \subseteq I$  of indices appearing, take  $x$  as follows:  $x(e_{i_0}) = \sum_{i \in J} e_i$ , and  $x(e_j) = 0$  for  $j \neq i_0$ ). However  $\Sigma$  forces  $x$  to be such that  $x(e_{i_0}) = \sum_{i \in I} e_i$

which is impossible unless  $\aleph$  is finite. To see that  $R$  is equationally compact, consider a system  $\Sigma$  of equations with constants in  $R$  and finitely solvable in  $R$ . For  $r \in R$  let  $e(r)$  denote the matrix  $(a_{ij})$  where  $a_{11} = r$  and  $a_{ij} = 0$  otherwise. Replace every constant  $r \in R$  appearing in  $\Sigma$  by  $e(r)$  and every variable  $x$  by  $e(1) \cdot x \cdot e(1)$ . Then  $\Sigma$  is finitely solvable in  $S$ , hence solvable in  $S$ . Taking the upper left hand entries from a solution in  $S$  yields obviously a solution of  $\Sigma$  in  $R$ . q.e.d.

If  $R = \langle R; +, -, 0, \cdot \rangle$  is a ring, we denote by  $R^+$  the underlying additive abelian group  $\langle R; +, -, 0 \rangle$ .

Proposition 3. Let  $R$  be an equationally compact ring and let  $\mathcal{D} = \langle \mathcal{D}; +, -, 0 \rangle$  be the largest divisible subgroup of  $R^+$ . Then  $R \cdot \mathcal{D} = \mathcal{D} \cdot R = \{0\}$ . In particular,  $\mathcal{D}$  is an ideal of  $R$ . Moreover, the ring  $R/\mathcal{D}$  is equationally compact.

proof: Let  $d \in D$  and  $r \in R$ . Consider the system of equations

$$\Sigma = \{(x_i - x_j)x_{ij} = r \cdot d; i, j \in I, i \neq j\}$$

where  $I$  is a set with cardinality larger than  $|R|$ .  $\Sigma$  is finitely solvable in  $R$ , since for any finite subset of indices  $J \subseteq I$ , choose  $n_i, i \in J$ , to be distinct natural numbers, set  $c_i = n_i r$ , and pick  $d_{ij}$  such that  $(n_i - n_j)d_{ij} = d$  for  $i \neq j$ . Then clearly  $(c_i - c_j)d_{ij} = r \cdot d$  for all  $i, j \in J, i \neq j$ . Thus  $\Sigma$  must be solvable in  $R$ . However  $\Sigma$  implies  $x_i = x_j$  for some  $i \neq j$ , because of the cardinality of  $I$ , hence  $r \cdot d = 0$ . An almost identical argument shows that  $d \cdot r = 0$ .

We recall that an abelian group  $G$  is *algebraically compact* (in the sense of Kaplansky [6]) if

$$G \approx C \oplus (\prod(G_p \mid p = \text{prime}))$$

where  $C$  is divisible and each  $G_p$  is a  $p$ -primary group complete in its  $p$ -adic topology and containing no nonzero element which is divisible by all powers of  $p$ . The group  $R^+$  is equationally compact and therefore algebraically compact as was shown by S. Balcerzyk in [1]; thus in view of the latter condition on the  $G_p$ 's the subgroup  $D$  under discussion equals  $C$  and is expressible by the equations

$$\{x_0 = n \cdot x_n; n \in \mathbb{N}\}.$$

Thus,  $R/D$  is equationally compact by Proposition 1.

Proposition 4. Let  $R$  be an equationally compact ring such that  $R^+$  is a bounded torsion group. Then there exists an equationally compact ring  $S$  with identity such that  $R$  is an ideal in  $S$  of finite index.

proof: Let  $n$  be a natural number such that  $n \cdot R = (0)$ , and let  $Z_n$  denote the integers modulo  $n$ . Define  $S = \langle R \times Z_n; +, -, 0, \cdot \rangle$  as follows:  $+$  is the usual direct sum addition, and

$$(r, l) \cdot (s, k) := (r \cdot s + l \cdot s + k \cdot r, l \cdot k).$$

The map  $r \mapsto (r, 0)$  is a ring embedding of  $R$  into  $S$ , making  $R$  clearly an ideal of  $S$  of finite index.

Now let  $\Sigma$  be a system of equations with constants in  $S$ ; finitely solvable in  $S$ . Let  $(x_0, x_1, \dots, x_\gamma, \dots)_{\gamma < \alpha}$  be the variables appearing in  $\Sigma$ . Replace each variable  $x_\gamma$  by  $(y_\gamma, z_\gamma)$ , inducing the system  $\Sigma_0$  with the obvious interpretation of

solvability (i.e.,  $y_\gamma$  must be replaced by an element of  $R$  and  $z_\gamma$  by an element of  $Z_n$ ). We construct by transfinite induction a sequence  $(n_0, n_1, \dots, n_\gamma, \dots)_{\gamma < \alpha} \in Z_n^\alpha$ , such that  $\Sigma_0((z_\gamma \rightarrow n_\gamma)_{\gamma < \alpha})$  is finitely solvable (" $z_\gamma \rightarrow n_\gamma$ " means that the variable  $z_\gamma$  is replaced by  $n_\gamma$ ). Let  $\beta$  be an ordinal and let  $n_\gamma, \gamma < \beta$ , be already constructed such that

$$\Sigma_\beta := \Sigma_0((z_\gamma \rightarrow n_\gamma)_{\gamma < \beta})$$

is finitely solvable. (For  $\beta = 0$  the construction is trivial.) Suppose for each  $m \in Z_n$  the system  $\Sigma_\beta(z_\beta \rightarrow m)$  is not finitely solvable; i.e., for each  $m \in Z_n$  there exists a finite subset  $\Sigma_{\beta, m}$  of  $\Sigma_\beta$  such that  $\Sigma_{\beta, m}(z_\beta \rightarrow m)$  is not solvable. But then the finite system

$$\bigcup_{m \in Z_n} \Sigma_{\beta, m} \subseteq \Sigma_\beta$$

is clearly not solvable. This is a contradiction, so there exists  $n_{\beta+1} \in Z_n$  such that  $\Sigma_\beta(z_\beta \rightarrow n_{\beta+1})$  is finitely solvable, and the induction step is complete. Thus  $\Sigma_1 := \Sigma_0((z_\gamma \rightarrow n_\gamma)_{\gamma < \alpha})$  is a finitely solvable system involving only the variables  $(y_\gamma)_{\gamma < \alpha}$ .

Now any  $\phi \in \Sigma_1$  is equivalent to a pair of equations  $(\phi_1, \phi_2)$ , where  $\phi_1$  is an equation with constants in  $R$  and involving the variables  $(y_\gamma)_{\gamma < \alpha}$ , and  $\phi_2$  involves only constants (from  $Z_n$ ). Therefore  $\Sigma_1$  is solvable because  $R$  is equationally compact. q.e.d.

Semisimplicity. A ring  $R$  is *semisimple* if its Jacobson radical  $J(R)$  is zero. We consider now the impact of this condition on equationally compact Artinian and Noetherian rings.

Recall that an element  $r$  of a ring  $R$  is *left quasi-regular* if there exists an element  $y \in R$  with  $r + y + y \cdot r = 0$ . It is well-known that  $J(R)$  is the largest left quasi-regular left ideal in  $R$ ; that is,  $r \in J(R)$  if and only if the left ideal generated by  $r$  is left quasi-regular. Hence  $J(R)$  is expressible by the set of equations

$$\{s \cdot x_0 + z \cdot x_0 + y_{s,z} + y_{s,z} \cdot (s \cdot x_0 + z \cdot x_0) = 0; s \in R, z \in Z\},$$

and in view of Proposition 1 we have

Proposition 5. If the ring  $R$  is equationally compact, then so are the rings  $R/J(R)$  and  $J(R)$ .

Lemma 1. A semisimple Artinian ring  $R$  is equationally compact if and only if it is finite.

proof: Sufficiency. It is perhaps appropriate at this point to remark that an arbitrary universal algebra  $A = \langle A; F \rangle$  which is also a compact topological algebra (i.e.,  $A$  can be endowed with a compact Hausdorff topology compatible with the algebraic structure) is equationally compact (see [8]). Indeed, the solution set of any equation is a closed subset of an appropriate power of  $A$  endowed with the Tychonov product topology.

As a special case, any finite algebra, hence any finite ring, is equationally compact.

Necessity. It is easily seen that a finite direct sum of rings is equationally compact if and only if every summand is. By Wedderburn's theorem  $R$  is a finite direct sum of matrix rings over division rings, each of which, therefore, is equationally compact. By Proposition 2 the respective division rings are equationally compact. However, equationally compact division rings are known to be finite (consider, for example, the system  $\Sigma = \{(x_i - x_j)y_{ij} = 1; i, j \in I, i \neq j\}$  for suitably large  $I$ ). Thus  $R$  is finite.

Proposition 6. Let  $R$  be an equationally compact semisimple Noetherian ring with identity. Then  $R$  is finite.

In view of the fact that equationally compact Noetherian rings with identity are necessarily linearly compact for the discrete topology, Proposition 6 follows from D. Zelinsky's decomposition of linearly compact semisimple rings [15, Prop. 11] and Lemma 1. For completeness' sake we give a proof, which is in the spirit of an argument of S. Warner [12, p. 55].

Lemma 2. Let  $R$  be as above but, in addition, a primitive ring. Then  $R$  is finite (and hence simple Artinian).

proof: By the Jacobson-Chevalley Density Theorem  $R$  is a dense ring of linear transformations on a vector space  $V$  with basis, say,  $\{e_i; i \in I\}$ . For each  $i \in I$ , let

$$A_i = \{\phi \in R; \phi(e_i) = 0\}.$$

$A_i$  is a left ideal, hence finitely generated, and therefore

expressible by equations. Let  $(v_i)_{i \in I} \in V^I$  be chosen arbitrarily. By denseness there exists for each  $i \in I$   $\phi_i \in R$  such that  $\phi_i(e_i) = v_i$ . Thus the system of equations

$$\Sigma = \{x = \phi_i + z_i; i \in I\} \cup \{z_i \in A_i; i \in I\}$$

is finitely solvable (again by denseness) and hence solvable. However  $\Sigma$  implies that  $x$  must map each  $e_i$  to  $v_i$ . Thus  $R$  is the complete transformation ring, and therefore by Prop. 2 and Lemma 1 a finite matrix ring over a division ring. q.e.d.

proof of Proposition 6: As is well-known  $R$  is a subdirect product of a family of primitive rings  $\{R/A_i; i \in I\}$  where the  $A_i$ 's are ideals of  $R$ . Since  $R$  is Noetherian with identity, each  $A_i$  is expressible by equations, so  $R/A_i$  is equationally compact by Proposition 1 and Noetherian. Hence by Lemma 2  $R/A_i$  is finite, simple and Artinian. Hence the  $A_i$ 's are maximal ideals. Let  $r = (r_i + A_i)_{i \in I} \in \prod(R/A_i | i \in I)$ . The system

$$\Sigma = \{\bar{x} = r_i + z_i; i \in I\} \cup \{z_i \in A_i; i \in I\}$$

is finitely solvable by the Chinese Remainder Theorem, hence solvable in  $R$ . But  $\Sigma$  implies  $x = r$ , so  $r \in R$ . Hence  $R$  is the full direct product and so  $I$  must be finite because  $R$  is Noetherian. q.e.d.

We summarize these results in the following

Theorem 1. For an equationally compact semisimple ring  $R$  the following are equivalent:

- (i)  $R$  is finite.
- (ii)  $R$  is Artinian.
- (iii)  $R$  is Noetherian with identity.

Noetherian rings. Although we are not able to characterize structurally those Noetherian rings with identity which are equationally compact, Theorem 1 and a crucial result of Warner yield a pleasant criterium relating equational compactness and topological compactness in this class of rings. We paraphrase the relevant result:



Proposition 7 [11, Theorem 2]. Let  $R$  be a topological Noetherian ring with identity. Then  $R$  is topologically compact if and only if the topology of  $R$  is the radical topology  $T$ ,  $R$  is complete for that topology and  $R/J(R)$  is a finite ring.

Now let  $R$  be an equationally compact Noetherian ring with identity. By Theorem 1,  $R/J(R)$  is finite. Now the topology  $T$  defined by taking the powers of  $J(R)$  as a neighbourhood base of  $0$  is not necessarily Hausdorff. However, we shall show that the space  $(R, T)$  is complete. To see this, consider a Cauchy sequence  $(r_i)_{i=1,2,\dots}$  in  $R$ . For each natural number  $n$  choose  $i_n$  such that the subsequence  $(r_i | i \geq i_n)$  is  $J(R)^n$ -close. Since  $R$  is Noetherian with identity, the ideal  $J(R)^n$  is expressible by equations, so we have the system of equations

$$\Sigma = \{x = r_{i_n} + z_n; n \in \mathbb{N}\} \cup \{z_n \in J(R)^n; n \in \mathbb{N}\}$$

which is finitely solvable (if  $m$  is the largest index appearing in a finite subset, set  $x = r_{i_m}$  and  $z_n = r_{i_m} - r_{i_n}$  for all  $n \leq m$ ). Hence  $\Sigma$  is solvable and obviously any solution is a limit of  $(r_i)_{i=1,2,\dots}$ . As a matter of fact,  $T$  is compact. To see this we quote the following

Lemma 3. Let  $R$  be a ring with identity,  $A$  and  $B$  two ideals such that  $B$  is finitely generated as a left ideal and both  $R/A$  and  $R/B$  are finite. Then  $R/A \cdot B$  is finite.

The proof is a straightforward counting of cosets as given in the proof of [10, Lemma 4], where the hypothesized commutativity is not used.

Now by Lemma 3 and induction, we see that  $J(R)^n$  has finite index in  $R$  for each  $n$ . This means that the family of cosets  $F = \{r + J(R)^n; r \in R, n \in \mathbb{N}\}$  is a subbase of closed sets for the topology  $T$ , and by the Alexander Subbase Theorem  $T$  is compact if every subfamily of  $F$  with the finite intersection property has a nonempty intersection. The latter is however clear by equational compactness of  $R$  and the fact that each  $J(R)^n$  is expressible by equations. In view of Proposition 7 we have proved

Theorem 2. Let  $R$  be an equationally compact Noetherian ring with identity. Then the radical topology is a complete and compact topology on  $R$ , and  $R/J(R)$  is finite. Moreover,  $R$  is a compact topological ring if and only if  $\bigcap (J(R)^n \mid n \in \mathbb{N}) = \{0\}$ .

Remark. By [3], equational and topological compactness coincide when  $R$  is a commutative Noetherian ring with identity. In general, I do not know of an equationally compact Noetherian ring with identity which is not topologically compact.

Artinian rings. As an immediate consequence of Theorem 2 we have the following

Corollary 1. An equationally compact Artinian ring  $R$  with identity is finite.

proof: Two well-known results assert that  $R$  is Noetherian and  $J(R)$  is nilpotent. Hence  $J(R)^n = (0)$  for some  $n$ , thus the radical topology is discrete and, by theorem 2, compact, which forces  $R$  to be finite.

Corollary 2 [11, Theorem 2, Corollary]. A compact topological Artinian ring with identity is finite.

The case of arbitrary Artinian rings requires a closer look.

Lemma 4. If  $R$  is an equationally compact Artinian ring such that  $R^+$  is a bounded torsion group, then  $R$  is finite.

proof: By Proposition 4 there is an equationally compact ring with identity  $S$ , such that  $R$  is an ideal of  $S$  and  $S/R$  is finite. Thus  $R$  is an Artinian  $S$ -module, as is the finite  $S$ -module  $S/R$ , and so  $S$  is an Artinian  $S$ -module, i.e.,  $S$  is an Artinian ring. But then  $S$  is finite by Corollary 1. q.e.d.

Lemma 5. Let  $R$  be an equationally compact torsion-free Artinian ring. Then  $R = (0)$ .

proof: A torsion-free Artinian ring has, as well-known, a left identity  $e$  and is an algebra over the rationals. But then the system of equations

$\{(x_i - x_j)y_{ij} = e; i, j \in I, i \neq j\}$   
 is finitely solvable in  $R$ , hence solvable in  $R$ ; taking  $|I| > |R|$   
 forces  $e = 0$ , i.e.,  $R = (0)$ .

Recall that the Prüfer group  $Z(p^\infty)$  is the subgroup of the unit circle in the complex plane consisting of all  $p^n$ -th roots of unity for all natural numbers  $n$  and fixed prime  $p$ .

Theorem 3. For an Artinian ring  $R$  the following are equivalent:

- (i)  $R$  is equationally compact.
- (ii)  $R^+ \cong B \oplus P$  where  $B = \langle B; +, \cdot, 0 \rangle$  is a finite group,  $P = \langle P; +, \cdot, 0 \rangle$  is a finite direct sum of Prüfer groups, and  $R \cdot P = P \cdot R = \{0\}$ .
- (iii)  $R$  is (algebraic) retract of a compact topological ring.

proof: (iii)  $\Rightarrow$  (i) holds for arbitrary universal algebras (see [8]).

(i)  $\Rightarrow$  (ii): By a result of F. Szász [9, Satz 4] every Artinian ring is the ring direct sum of its torsion ideal  $T$  and some torsion-free ideal  $\mathcal{D}$ . But  $\mathcal{D}$  is then an equationally compact torsion-free Artinian ring, so must be  $(0)$  by Lemma 5. Hence  $R = T$ . Let  $R^+ = B \oplus P$  be the (group) decomposition of  $R^+$  into its divisible part  $P$  and reduced part  $B$ . As a torsion divisible abelian group  $P$  is, as well-known, a direct sum of Prüfer groups. Now by Proposition 3  $R \cdot P = P \cdot R = \{0\}$ . Thus every subgroup of  $P$  is an ideal of  $R$  and therefore  $P$  is a finite direct sum, because  $R$  is Artinian.

Now the family  $F = \{n \cdot B \oplus P; n \in \mathbb{N}\}$  is easily seen to be a downward directed set of ideals of  $R$ , hence has a smallest element  $n_0 \cdot B \oplus P$  since  $R$  is Artinian. However  $n_0 \cdot B \oplus P$  is clearly divisible, being the meet of  $F$ , and so  $n_0 \cdot B = (0)$  as  $B$  is reduced. Thus  $B$  is a bounded torsion group. The quotient  $R/P$  is Artinian and, again by Proposition 3, equationally compact; moreover,  $(R/P)^+ \cong B$ . Hence  $B$  is finite by Lemma 4, and we are done.

(ii)  $\Rightarrow$  (iii): Let  $R^+ \cong B \oplus P_1 \oplus \dots \oplus P_n$  where  $B$  is finite and  $P_i = Z(p_i^\infty)$ ,  $i = 1, \dots, n$ . Each  $P_i$  is divisible, hence injective and therefore retract of every extending abelian group - e.g., the compact topological circle group  $C$ . Let  $f_i: C \rightarrow P_i$

be a retraction. Endowing  $B$  with the discrete topology, we have then a (group) retraction

$$f: H \rightarrow R^+$$

where  $H$  is the compact topological group  $B \oplus (\oplus(C \mid i=1, \dots, n))$  and  $f = \text{id}_B \oplus f_1 \oplus \dots \oplus f_n$ .

If multiplication is defined on  $H$  by letting every element of  $\oplus(C \mid i=1, \dots, n)$  annihilate  $B$  and then extending by distributivity,  $H$  clearly becomes a ring. Moreover  $H$  is a topological ring under the given topology, because the inverse image under the multiplication map of any subset of  $H$  is the finite union of sets of the form  $A_1 \times A_2$  where each  $A_j$  is a coset of  $\oplus(C \mid i=1, \dots, n)$  in  $H$ , all of which, however, are closed; thus multiplication is continuous. By a straightforward calculation one sees that  $f$  is a ring homomorphism, and the proof is complete.

Remark. It is not possible, in general, to obtain a ring-direct sum in the decomposition given in condition (ii). Consider, for example, the ring  $R$ , where  $R^+ = Z_2 \oplus Z(2^\infty)$ ,  $R \cdot Z(2^\infty) = Z(2^\infty) \cdot R = \{0\}$ , and  $(1,0) \cdot (1,0)$  is defined to be the primitive square root of unity in  $Z(2^\infty)$ . Here we have a nonzero divisible element appearing as a product of two nondivisible elements.

The following improves Corollary 2:

Corollary 3. A compact topological Artinian ring  $R$  is finite.

proof: By Theorem 3 we have  $R^+ \cong B \oplus P_1 \oplus \dots \oplus P_n$ , where  $B$  is finite and  $P_i = Z(p_i^\infty)$ . Let  $P_i^k$  be the subgroup of  $P_i$  consisting of all  $p_i^k$ -th roots of unity, and let

$$R^k = B \oplus P_1^k \oplus \dots \oplus P_n^k.$$

Now  $R = \bigcup (R^k \mid k=1, 2, 3, \dots)$ , that is, the intersection of the complements  $R \setminus R^k$  is empty. By the Baire Category Theorem [7, p.200] at least one of the sets  $R \setminus R^k$  is not dense in  $R$ , i.e., for some  $k_0$  the finite subgroup  $R^{k_0}$  contains a nonempty open set; this forces the topology to be discrete and therefore by compactness  $R$  must be finite.

Corollary 4. An equationally compact ring satisfying both chain conditions is finite.

proof: clear.

Compactifications. We conclude with a few remarks on the question of embedding rings into equationally compact ones. Following the terminology of [14] we define, for a fixed universal algebra  $A$ , a *compactification* of  $A$  to be an algebra  $B$  such that  $B$  is equationally compact and  $A$  is a subalgebra of  $B$ .  $B$  is a *quasi-compactification* of  $A$  if  $A$  is a subalgebra of  $B$  and every system of equations with constants in  $A$  and finitely solvable in  $A$  is solvable in  $B$ . The classes of compactifications resp. quasi-compactifications of  $A$  are denoted by  $\text{Comp}(A)$  resp.  $c(A)$ . Clearly  $\text{Comp}(A) \subseteq c(A)$ . A *positive formula* is a formula of the first order predicate calculus which is built up from polynomial equations (of a fixed algebraic type) by application of the logical connectives  $\forall, \exists, \wedge, \vee$  in a finite number of steps. We quote the following result of G.H. Wenzel:

Proposition 8 [14, Theorems 8.10,12]. Let  $A$  be an algebra and let  $K$  be one of  $\text{Comp}(A)$  or  $c(A)$ . If  $K$  is not empty then there is an algebra  $B$  in  $K$  such that  $B$  satisfies every positive formula with constants in  $A$  which is satisfiable in  $A$ .

Proposition 9. Let  $R$  be a ring and  $\Delta$  an infinite division ring. If  $R$  contains  $\Delta$  as a subring, then  $c(R) = \emptyset$ . In particular, an infinite semisimple Artinian ring cannot be quasi-compactified, and hence not (algebraically) embedded into a compact topological ring. If  $R$  is an algebra over  $\Delta$  and  $R^2 \neq \{0\}$ , then  $c(R) = \emptyset$ . If  $\mathcal{D}$  denotes any divisible subgroup of  $R^+$  and  $R \cdot \mathcal{D} \neq \{0\}$ , then  $c(R) = \emptyset$ . In particular, if  $R$  is a subring of a compact topological ring, then  $R \cdot \mathcal{D} = \mathcal{D} \cdot R = \{0\}$ .

proof: If  $c(R) \neq \emptyset$ , then  $c(R)$  contains a ring by Proposition 8; the proofs are then implicit in Proposition 3.

Proposition 10. Let  $R$  be an infinite Artinian ring with identity. Then  $\text{Comp}(R) = \emptyset$ . In particular,  $R$  cannot be (algebraically) embedded in a compact topological ring.

proof:  $R$  is Noetherian by a well-known result; hence  $R$  has finite length. If  $n$  is the (unique!) length of a maximal chain of left ideals then as is easily checked, the property

of "maximal length of at most  $n$ " is characterized by the positive formula

$$\Psi = (\forall x_1) \dots (\forall x_{n+2}) (\exists y_1) \dots (\exists y_{n+2})$$

$$\left( \bigvee_{1 < k \leq n+2} x_k = y_1 x_1 + \dots + y_{k-1} x_{k-1} \right)$$

Thus if  $\text{Comp}(R) \neq \emptyset$ , there is by Proposition 8 an  $S \in \text{Comp}(R)$  satisfying  $\Psi$ , i.e., of finite length. But this cannot be, since by Corollary 4  $S$  would be finite.

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